

Tunnel number of knots and generalized tangles

Toshio Saito (斎藤 敏夫)

Joetsu University of Education (上越教育大学)

Previous results

Definition.

An *n -tangle* (B, T) is defined to be a pair of a **3**-ball B and mutually disjoint $n(\geq 2)$ arcs T properly embedded in B .

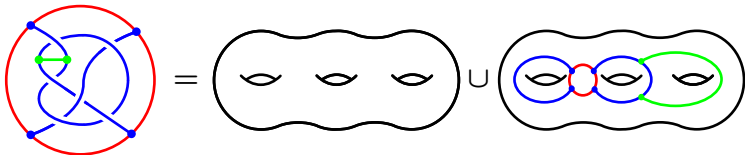
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Let (B, T) be an n -tangle. A disjoint union τ of simple arcs joining T to itself is called an *unknotting tunnel system* if $\text{Ext}(\partial B \cup T \cup \tau; B)$ is a handlebody. The minimal number of such arcs is called the *tunnel number* $\text{tnl}(T)$ of (B, T) .



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Theorem. [S. (2014)]

Let K be a knot in S^3 and $T_1 \cup T_2$ an n -tangle decomposition of K .
Then $\text{tnl}(K) \leq \text{tnl}(T_1) + \text{tnl}(T_2) + 2n - 1$.

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$\forall n \in \mathbb{Z}_{\geq 2}, \forall t_1, \forall t_2 \in \mathbb{Z}_{\geq 0}, \exists K = T_1 \cup T_2$: an n -tangle
decomposition s.t. $\text{tnl}(T_1) = t_1, \text{tnl}(T_2) = t_2$ and
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Remark.

If $\text{tnl}(T_1) = \text{tnl}(T_2) = 0$ (i.e. both T_1 and T_2 are free),
this corresponds to Morimoto's conjecture.

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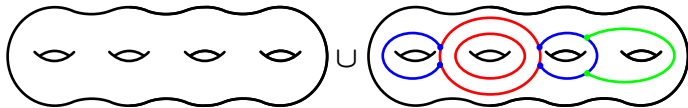
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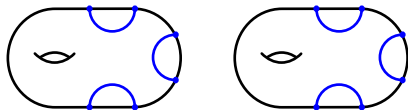
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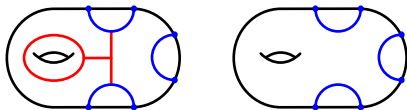


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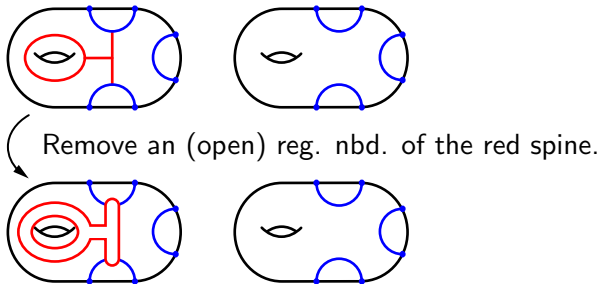


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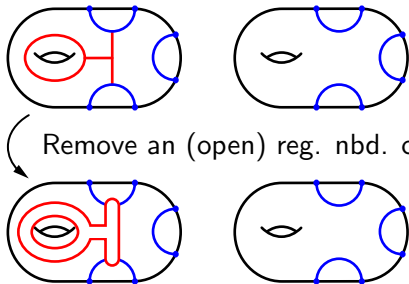


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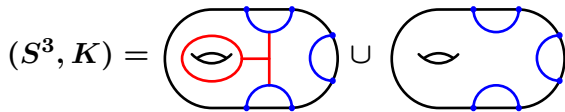
$$T := K \cap V.$$

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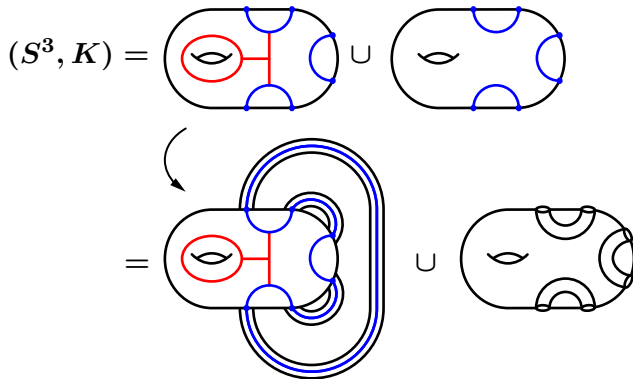
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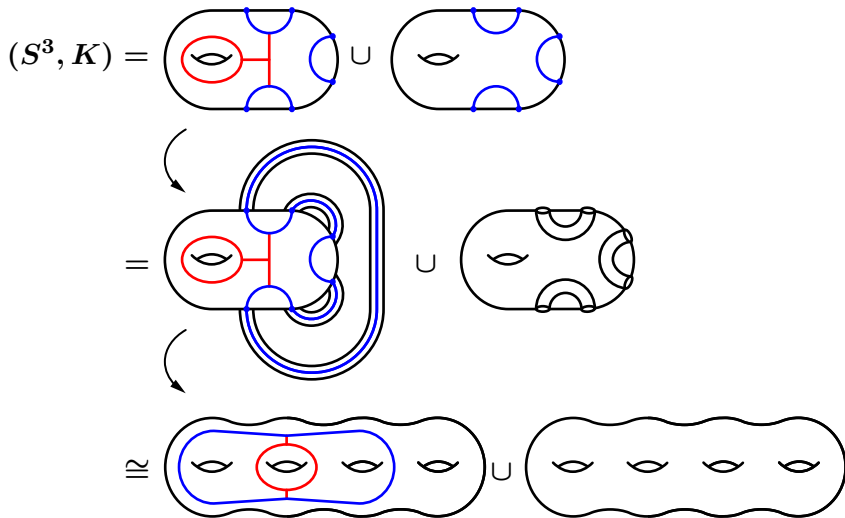
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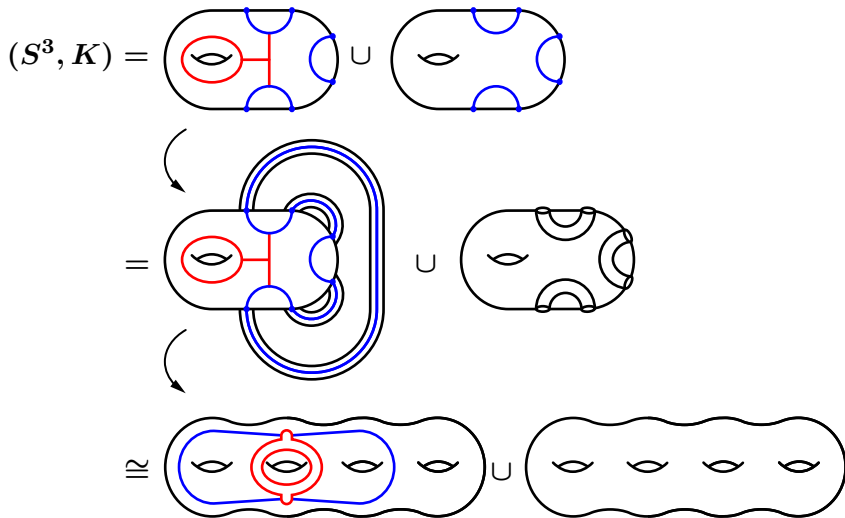
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Remark (Ichihara-S., 2013).

Such a knot does exist.

c.f.) Knots with arbitrarily high distance bridge decompositions,
Bull. Korean Math. Soc. 50 (2013), no. 6, 1989–2000.

Results

Theorem 1.

Let K be a knot in a closed orientable $\mathbf{3}$ -manifold and $T_1 \cup T_2$ a (g, n) -tangle decomposition of K . Then

$$\text{tnl}(K) \leq \text{tnl}(T_1) + \text{tnl}(T_2) + g + 2n - 1.$$

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[Sketch of Proof] e.g.) a $(1, 2)$ -tangle decomposition

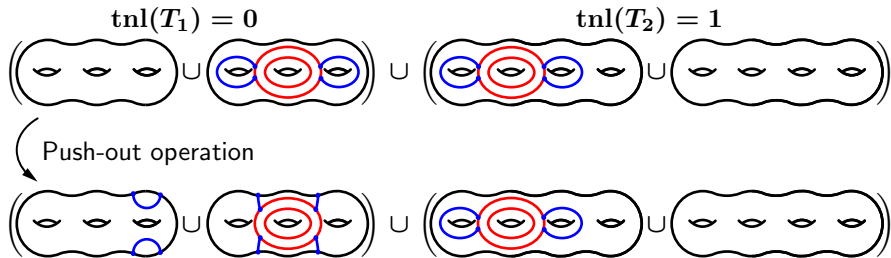
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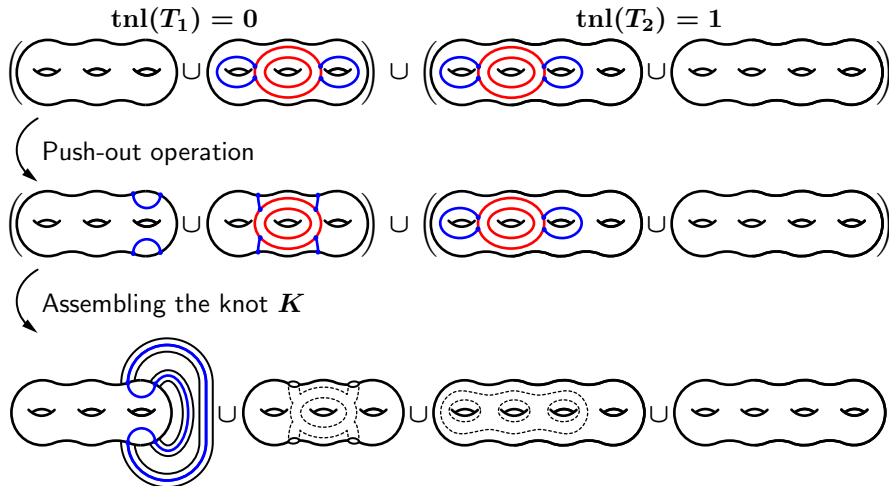
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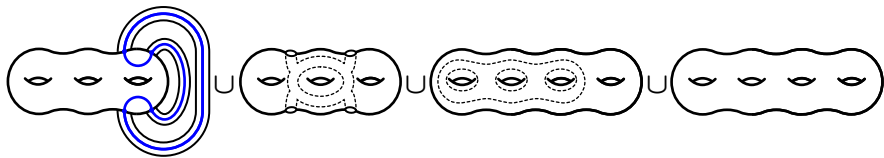


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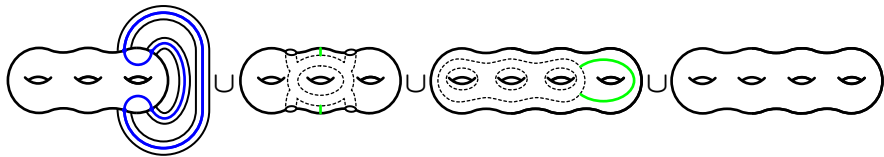
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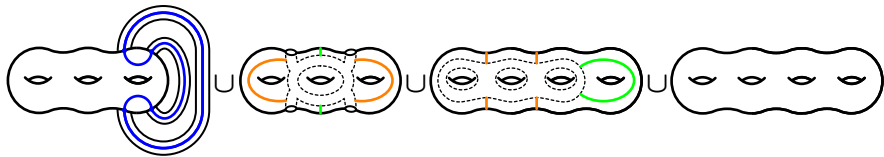
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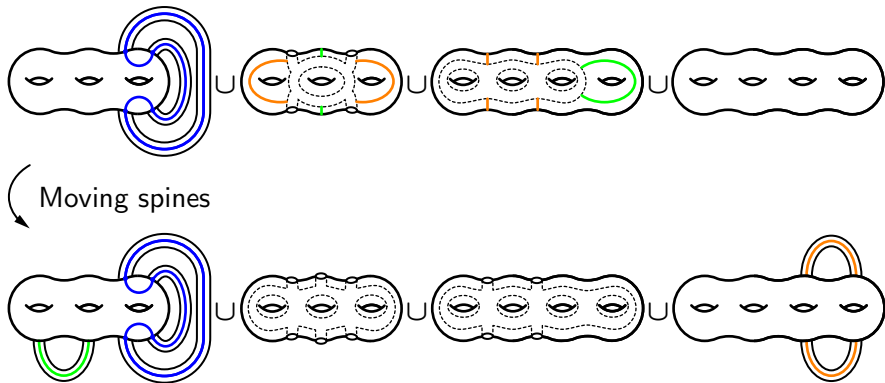
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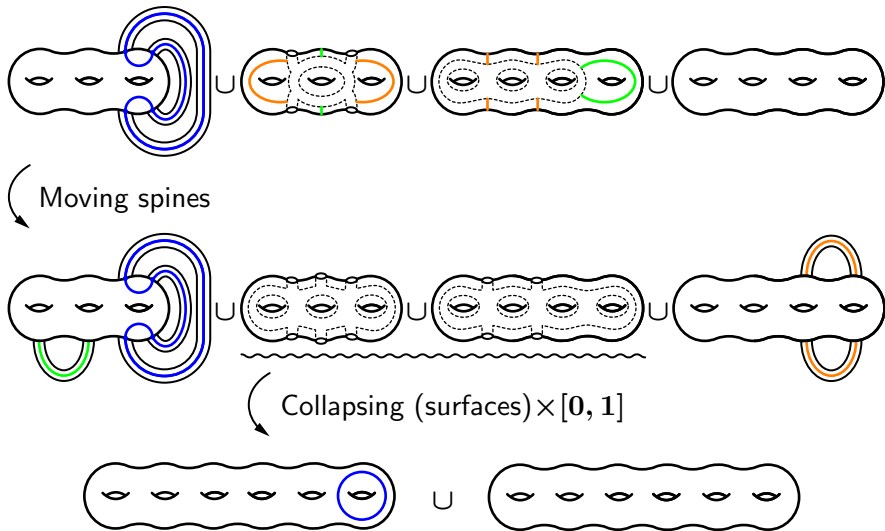
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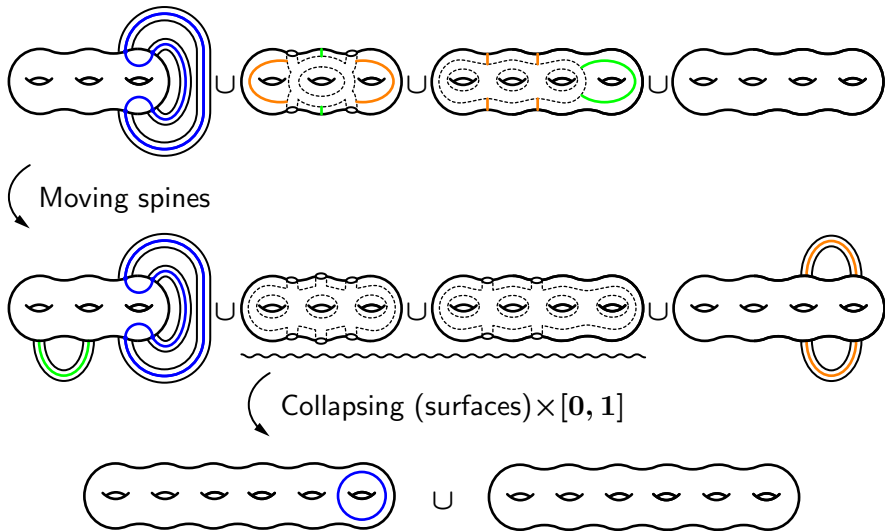
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$$\text{tnl}(K) \leq 5.$$

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Question.

$\forall g \in \mathbb{Z}_{\geq 1}, \forall n \in \mathbb{Z}_{\geq 2}, \forall t_1, \forall t_2 \in \mathbb{Z}_{\geq 0}, \exists ? K = T_1 \cup T_2$: a (g, n) -tangle decomposition s.t. $\text{tnl}(T_1) = t_1, \text{tnl}(T_2) = t_2$ and

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Just take very complicated tangles and identify their boundaries so that the resulting link is a knot.

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a very complicated tangle \approx a **high distance** tangle

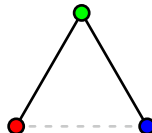
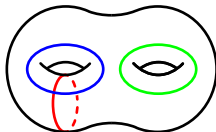
Curve complex

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Definition.

Let S be a closed orientable surface. The *curve complex* of S , denoted by $\mathcal{C}(S)$, is the complex such that:

- the vertices are the isotopy classes of essential simple loops in S , and
- distinct k vertices determine a k -simplex if they correspond to pairwise disjoint loops.



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$$d_S(T) := \min \left\{ d_S(x, y) \text{ in } \mathcal{C}(S) \left| \begin{array}{l} x : \partial \text{ of a c-disk in } V_1 \\ y : \partial \text{ of a c-disk in } V_2 \end{array} \right. \right\}.$$

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$\forall g \geq 1, \forall n \geq 2, \forall t \geq 0$ and $\forall d > 0, \exists (V, T) : \text{ a } (g, n)\text{-tangle with } \text{tnl}(T) = t \text{ and } d_S(T) > d \text{ for a Heegaard surface } S \text{ of } (V, T).$

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It is enough to show the existence of **high distance knots in a handlebody**.

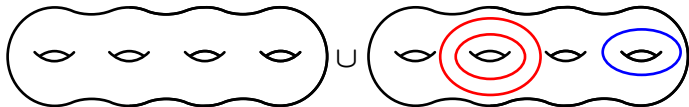
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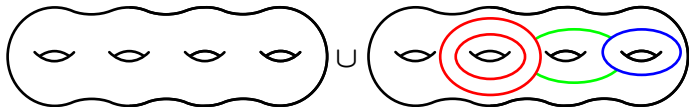
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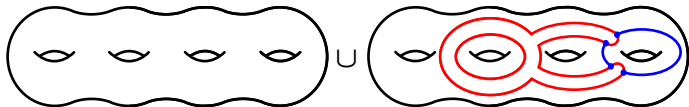
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Key lemma. [Hempel (2001)]

Suppose $X, Y \subset \mathcal{C}(S)$.

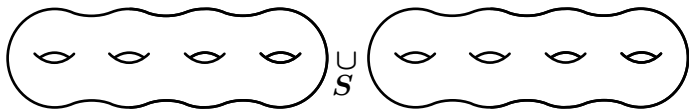
$\overline{X}, \overline{Y}$: closures of X, Y in $\mathcal{PML}(S)$.

Φ : a pseudo-Anosov map with stable/unstable laminations λ^\pm .

$\lambda^- \notin \overline{Y}$ and $\lambda^+ \notin \overline{X} \implies d(X, \Phi^n(Y)) \rightarrow \infty$ as $n \rightarrow \infty$.

Existence of high distance knots

$S^3 = V \cup_S W$: a standard Heeg. splitting.

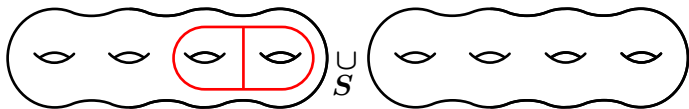


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Then $V' \cup_S W$ is a Heeg. splitting of a handlebody.



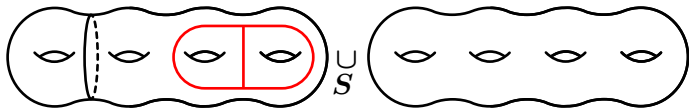
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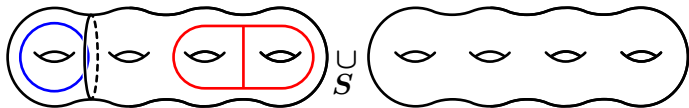
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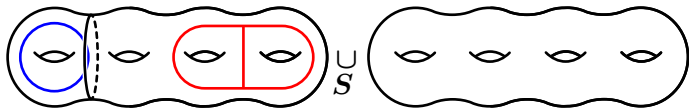
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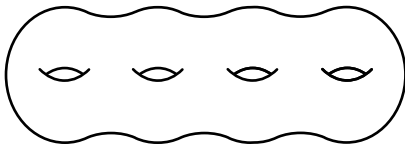
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Need to find a “nice” pseudo-Anosov map on S extending over V' .

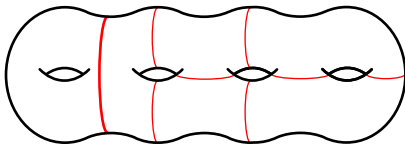
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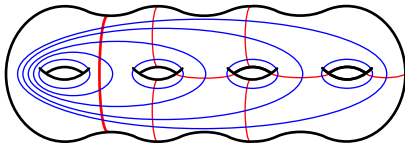
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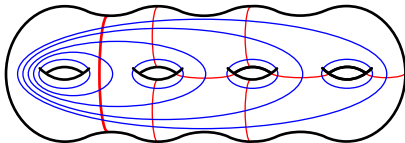
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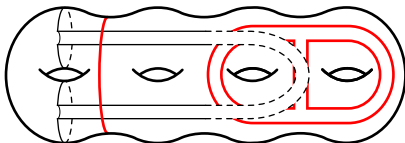
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Claim 1. (Minsky-Moriah-Schleimer)

There is a meridian a of V' obtained from a band-sum on two copies of a meridian of V'' s.t. a traverses all seams of \mathcal{P} and \mathcal{Q} respectively.

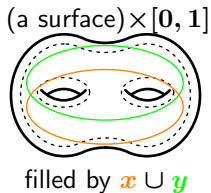
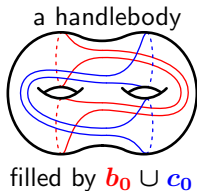


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Claim 2. There are two meridians b, c of V' s.t. $b \cup c$ fills S .

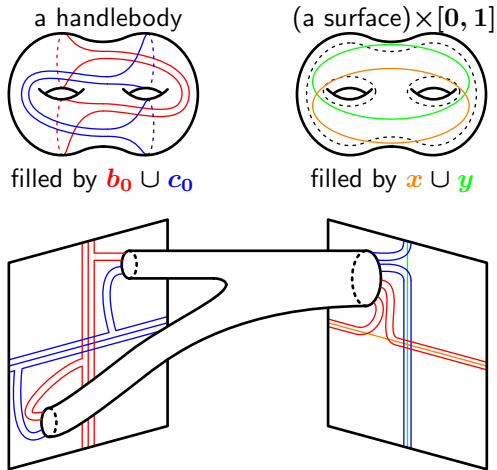
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Existence of high distance knots

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b : a "good" band sum of two copies of b_0 along x .
 c : a "good" band sum of two copies of c_0 along y .

Claim 3. (Minsky-Moriah-Schleimer)

$\Phi_N := \tau_a^N \circ (\tau_b \circ \tau_c^{-1}) \circ \tau_a^{-N}$ ($N \gg 0$) satisfies the assumption of Key lemma.

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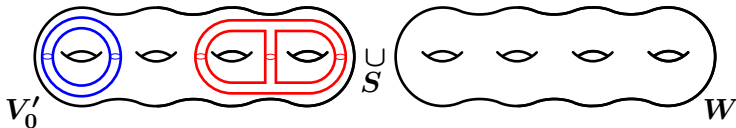
Existence of high distance knots

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Hence $\boxed{d(\Phi_N^n(\mathcal{D}(V'_0)), \mathcal{D}(W)) \rightarrow \infty \text{ as } n \rightarrow \infty.}$



Outline of proof of Theorem 2

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Theorem 2.

$\forall g \in \mathbb{Z}_{\geq 1}, \forall n \in \mathbb{Z}_{\geq 2}, \forall t_1, \forall t_2 \in \mathbb{Z}_{\geq 0}, \exists K = T_1 \cup T_2$: a (g, n) -tangle decomposition s.t. $\text{tnl}(T_1) = t_1$, $\text{tnl}(T_2) = t_2$ and $\text{tnl}(K) = \text{tnl}(T_1) + \text{tnl}(T_2) + g + 2n - 1$.

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Remark.

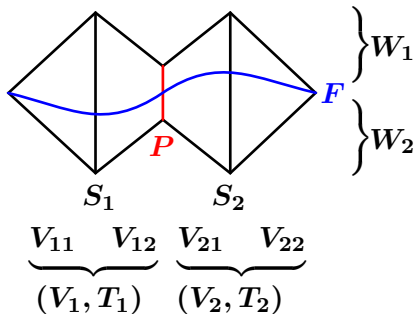
If a generalized tangle contains an *essential* surface, its Euler characteristic bounds distance from above.

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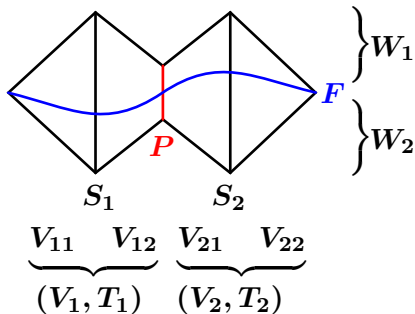
$$(M^3, K) = (V_1, T_1) \cup_P (V_2, T_2)$$

F : a minimal genus Heegaard surface of (M^3, K) .

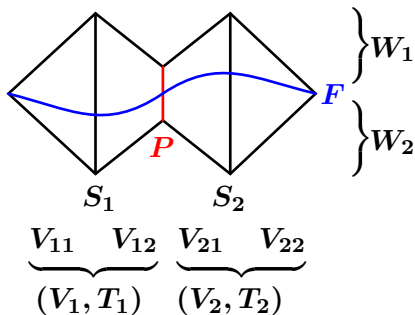


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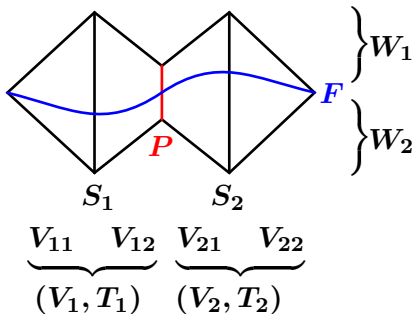
Outline of proof of Theorem 2



Proposition.

F is weakly reducible.

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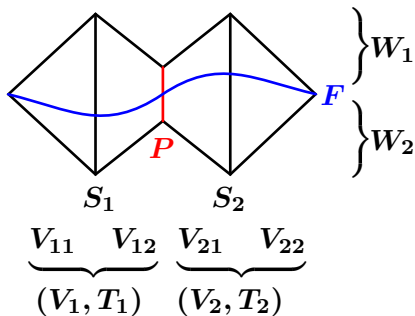
(proof)

Kobayashi-Qiu's argument : otherwise, $F \cap V_1$ or $F \cap V_2$ is essential.

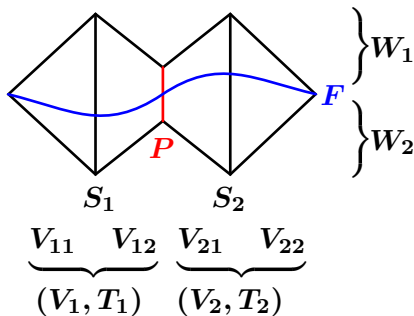
Essential surfaces bound distance from above, a contradiction.

Outline of proof of Theorem 2

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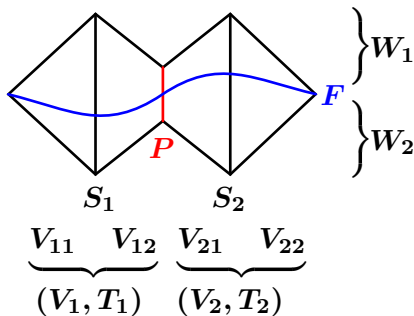
Outline of proof of Theorem 2



Proposition.

F is the amalgamation of S_1 and S_2 .

Outline of proof of Theorem 2

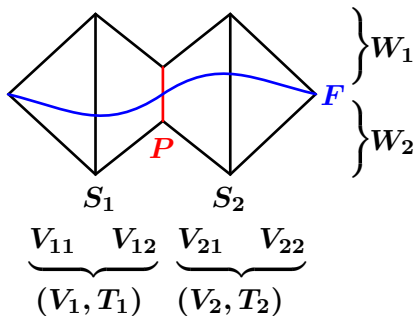


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(proof) Since F is weakly reducible, (M^3, K) admits a *generalized bridge surface* by (c-)weak reduction.

Outline of proof of Theorem 2

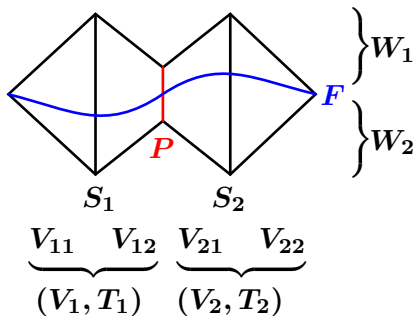


Proposition.

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(proof) Since F is weakly reducible, (M^3, K) admits a *generalized bridge surface* by (c-)weak reduction. If there is an essential surface not ambient isotopic to P , then it again bounds distance, a contradiction.

Outline of proof of Theorem 2



Proposition.

F is the amalgamation of S_1 and S_2 .

(proof) Since F is weakly reducible, (M^3, K) admits a *generalized bridge surface* by (c-)weak reduction. If there is an essential surface not ambient isotopic to P , then it again bounds distance, a contradiction. Hence P is the only essential surface. This implies the conclusion.