

On zeros of the Alexander polynomials of alternating knots

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Conjecture (Hoste, 2002)

K : an alternating knot

$$\Delta_K(t) = 0 \quad \Rightarrow \quad \operatorname{Re} t > -1.$$

Diagram

Topology

"alternating" $\xleftrightarrow{\text{relation?}}$ (roots of)
Hoste conj. Alex. polyn.

Conjecture (Hoste, 2002)

K : an alternating knot

$$\Delta_K(t) = 0 \quad \Rightarrow \quad \operatorname{Re} t > -1.$$

$t \in \mathbb{C}$: a root of $\Delta_K(T)$

- alternating property (Murasugi ('58), Crowell ('59))
 $\rightsquigarrow t \in \mathbb{R} \Rightarrow t > 0.$

For the 2-bridge knots,

- Lyubich-Murasugi ('12): $-3 < \operatorname{Re} t < 6.$
- Stoimenow ('18), Koseleff-Pecker ('15):
 $|t^{1/2} - t^{-1/2}| < 2. \rightsquigarrow \operatorname{Re} t > -3/2.$
- I. (('17)): $\operatorname{Re} t > -1.$

Results

Conjecture (Hoste, 2002)

K : an alternating knot

$$\Delta_K(t) = 0 \quad \Rightarrow \quad \operatorname{Re} t > -1.$$

Thm A Hoste's conjecture holds for the alternating-pretzel knots (links).

Thm B Hoste's conjecture does **NOT** hold for infinitely many alternating Montesinos knots.

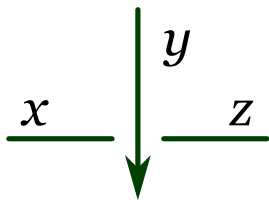
Thm C L : an alternating Montesinos link

$$\Delta_L(t) = 0 \quad \Rightarrow \quad \operatorname{Re} t > -2.$$

Contents

- 1 Hoste's conjecture for pretzel links
 - \mathbb{C}_t -coloring and the Alexander polynomial
 - Proof of Theorem A
- 2 Counterexamples for Hoste's conjecture
 - Explicit counterexamples
- 3 A bound of the roots

- a \mathbb{C}_t -coloring is $\mathcal{C} : \{\text{arcs}\} \rightarrow \mathbb{C}$ s.t.



$$\mathcal{C}(z) = t\mathcal{C}(x) + (1 - t)\mathcal{C}(y).$$

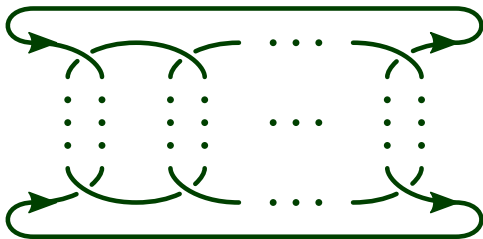
$$(\Leftrightarrow \mathcal{C}(x) = t^{-1}\mathcal{C}(z) + (1 - t^{-1})\mathcal{C}(y).)$$

Rem \mathbb{C}_t is a quandle.

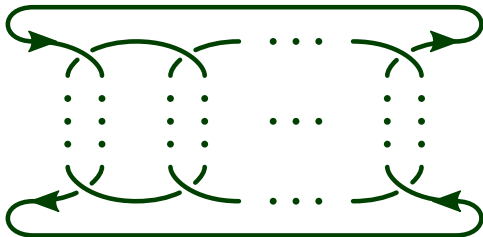
- (Inoue, '01) \exists non-triv. \mathbb{C}_t -col. $\Leftrightarrow \Delta_K(t) = 0$.

alternating-pretzel links:

type I:

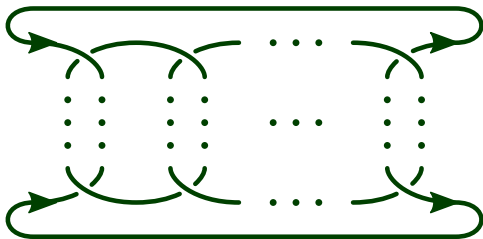


type II:

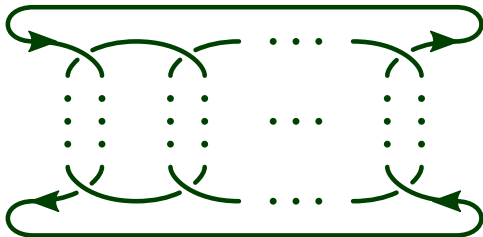


alternating-pretzel links:

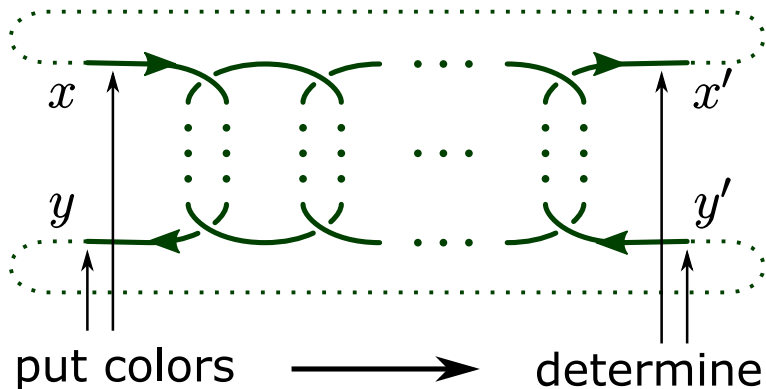
type I:



type II:

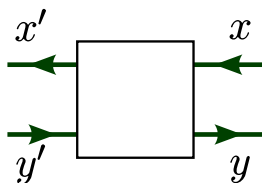
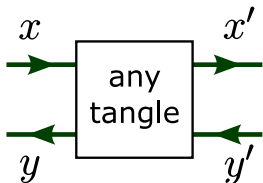


Strategy of locating roots

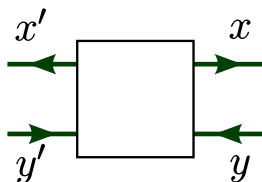
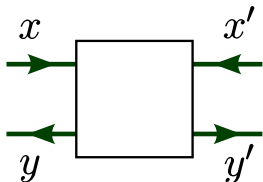


$$\begin{cases} x = x' \\ y = y' \end{cases} \Leftrightarrow \Delta_L(t) = 0.$$

Properties of colored tangles

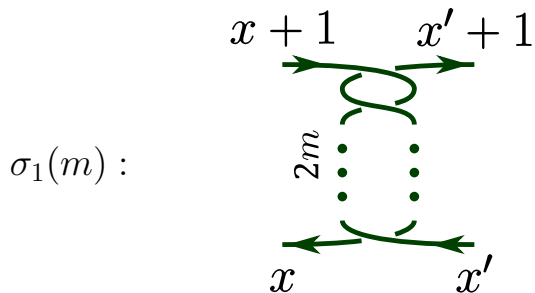


$$\rightsquigarrow x - y = x' - y'$$



$$\rightsquigarrow (-t)(x - y) = x' - y'$$

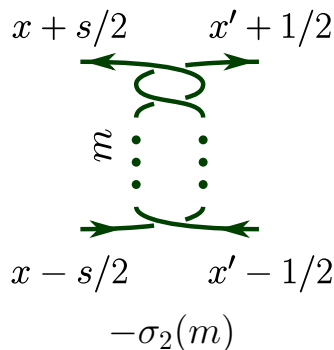
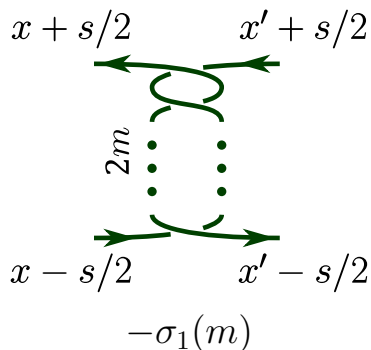
Parametrization



- $x' = x + c_{\sigma_1(m)},$

$$c_{\sigma_1(m)} = \frac{1}{m(1 + s^{-1})}, \quad \text{where } s = -t.$$

Parametrization



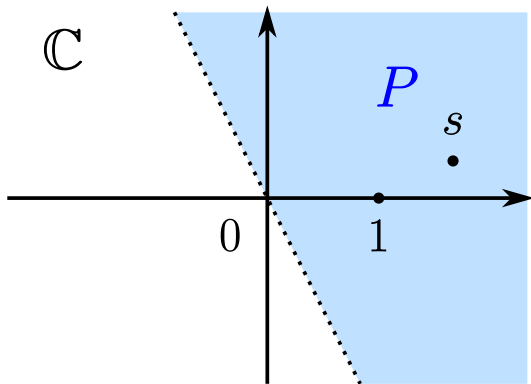
- $x' = x + c_{-\sigma_i(m)}$ for $i = 1, 2$.

Lem $c_{-\sigma_i(m)} = c_{\sigma_i(m)}$ for $i = 1, 2$.

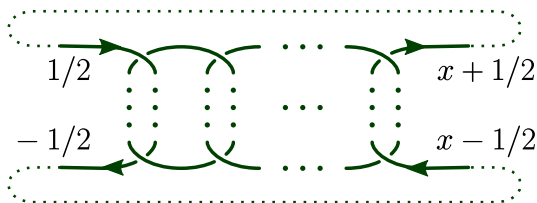
C_σ are “one-sided”

$$P := \{z \in \mathbb{C} \mid \operatorname{Re} z / (s - 1) > 0\}.$$

Lem $\operatorname{Re} t \leq -1 \Rightarrow C_{\sigma_1(m)}, C_{\sigma_2(m)} \in P.$



Proof of Theorem A



$$t \in \mathbb{C}, \quad \operatorname{Re} t \leq -1.$$

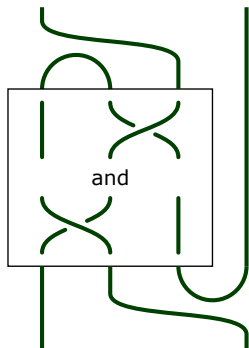
$$x = c_{\pm\sigma_{i_1}(m_1)} + \cdots + c_{\pm\sigma_{i_k}(m_k)} \stackrel{\text{Lem}}{\in} P.$$

$$\rightsquigarrow x \neq 0, \text{ i.e. } \Delta_L(t) \neq 0.$$

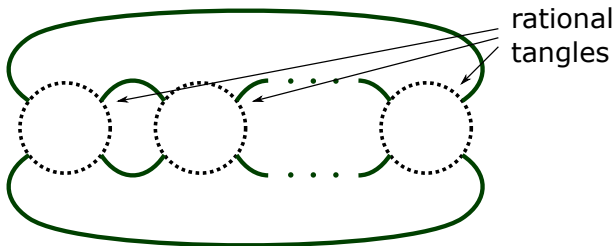
Theorem A

Hoste's conjecture holds for the alternating-pretzel links.

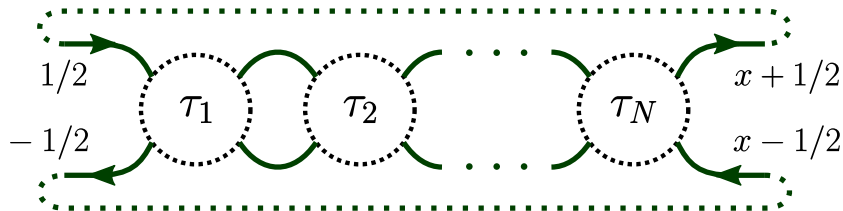
(a diagram of)
a **rational tangle**:



a **Montesinos knot/link**:



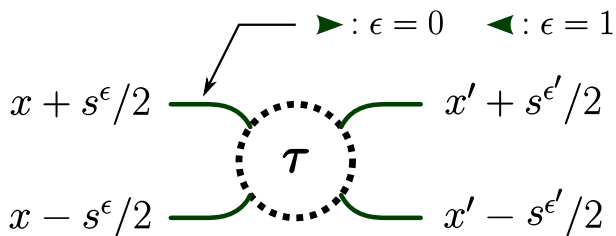
Strategy



put colors \longrightarrow x is determined

$$x = 0 \quad \Leftrightarrow \quad \Delta_L(t) = 0.$$

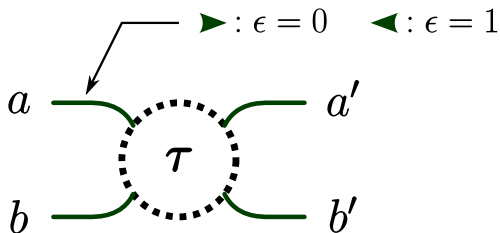
Parametrization



- $\exists c_\tau$: a rational func. on t s.t. $x' = x + c_\tau$.

Parametrization

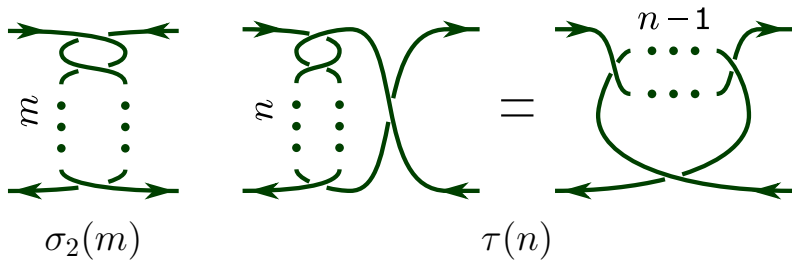
\forall nontriv. col.:



$$\Rightarrow c_{\tau} = s^{\epsilon} \cdot \frac{\frac{a' + b'}{2} - \frac{a + b}{2}}{a - b}.$$

Lem $c_{\tau} = c_{-\tau}$.

Ex.



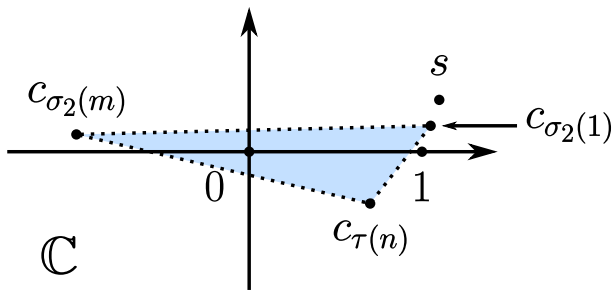
$$C_{\sigma_2(m)} = \frac{1-s}{2} \cdot \frac{1+s^m}{1-s^m},$$

$$C_{\tau(n)} = \frac{s-s^n}{1-s^n}.$$

Are c_T “one-sided”?

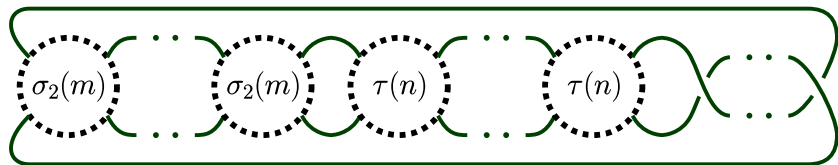
Are c_τ “one-sided”? —**NO!** (when $\operatorname{Re} t \leq -1$)

Lem $\exists m, n, t$ ($\operatorname{Re} t < -1$) s.t. the triangle spanned by $c_{\sigma_2(1)}(t), c_{\sigma_2(m)}(t), c_\tau(n)(t)$ contains $0 \in \mathbb{C}$:
 $=: \Delta(m, n, t)$



Ex. $(m, n, t) = (51, 64, -1.005 - 0.099i)$

Candidate links:



Theorem B

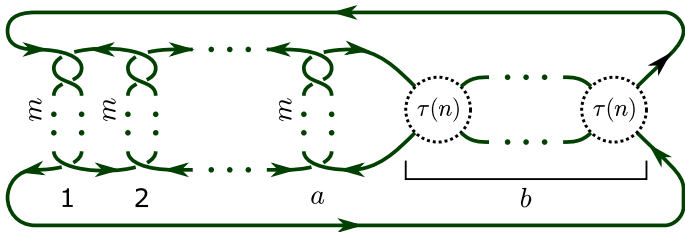
$$\left\{ t \in \mathbb{C} \left| \begin{array}{l} \operatorname{Re} t < -1, \\ \exists \text{alt. Mont. link } L \\ \text{s.t. } \Delta_L(t) = 0 \end{array} \right. \right\} \supset \left(\bigcup_{d \in \mathbb{Z}_{>0}} \mathbb{Q}(\sqrt{-d}) \right) \cap U,$$

where U is the nonempty open set

$$\bigcup_{m, n \in \mathbb{Z}_{>0}} \{t \in \mathbb{C} \mid \operatorname{Re} t < -1, 0 \in \Delta(m, n, t)\}.$$

Counterexamples (j.w. M. Hirasawa and M. Suzuki)

Candidate link $L(m, a, n, b)$:



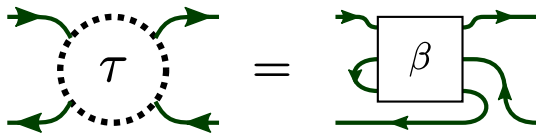
m : odd, a, n : even, $b = 1 \Rightarrow L(m, a, n, b)$: knot

45 counterexamples among 410141 candidates up to 1000 crossings:

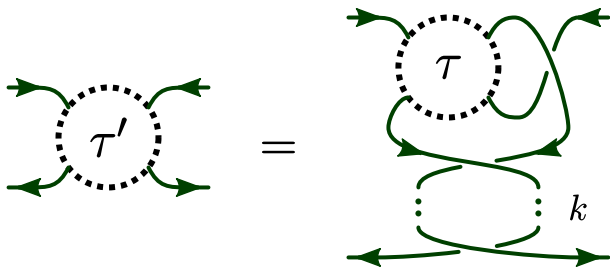
$(m, a, n) = (39, 24, 56), (41, 20, 56), (41, 22, 58), (43, 18, 58), (43, 20, 58), (43, 20, 60), (45, 18, 60), (45, 20, 60), (45, 20, 62), (47, 16, 60), (47, 16, 62), (47, 18, 62), (47, 18, 64), (49, 16, 62), (49, 16, 64), (49, 18, 64), (49, 18, 66), (51, 14, 64), (51, 16, 64), (51, 16, 66), (51, 18, 66), (51, 18, 68), (53, 14, 66), (53, 16, 66), (53, 16, 68), (55, 14, 68), (55, 16, 68), (55, 16, 70), (57, 14, 70), (57, 16, 70), (57, 16, 72), (57, 16, 74), (59, 14, 72), (59, 14, 74), (61, 14, 74), (61, 14, 76), (63, 14, 76), (63, 14, 78), (65, 14, 78), (65, 14, 80), (71, 12, 84), (73, 12, 84), (73, 12, 86), (75, 12, 86), (75, 12, 88).$

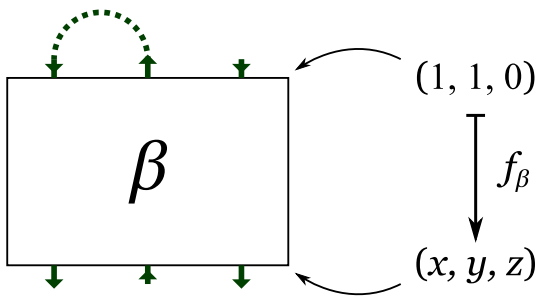
min. crossing: $L(51, 14, 64, 1)$ (778 crossings, $\text{Re } t \sim -1.000030$)

A rational tangle is presented by an oriented 3-braid:



$$\left(\square_{\beta} = \square_{\chi + \bar{\chi}} \right)$$



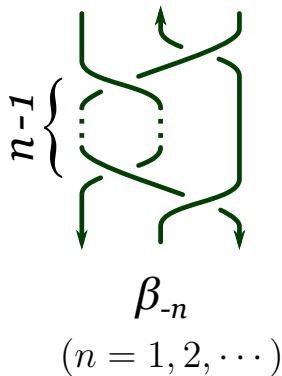
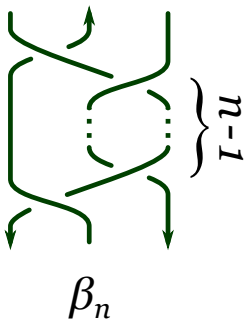


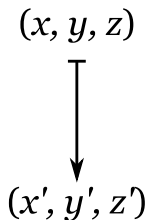
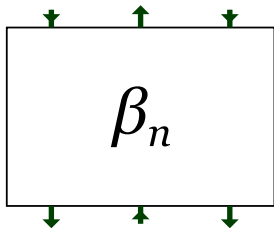
$$c_\tau = \xi = -z/x,$$

$$c_{\tau'} = g_k(\xi) = \frac{s-1}{2} \cdot \frac{(1+s^{-k})\xi + (s+s^{-k})}{(1-s^{-k})\xi + (s-s^{-k})}.$$

Lem β is decomposed into β_n :

$$\beta = \beta_{n_1} \cdots \beta_{n_k}.$$

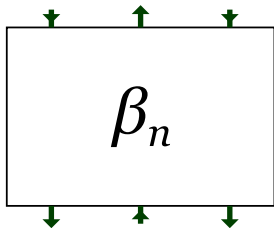




$$\left(\text{e.g. } x' = \frac{t(x - y + z) + x + t^{-1}(y - x) + (-t)^n(z - x)}{1 + t} \right)$$

- $x - y + z = x' - y' + z'$.

$$\rightsquigarrow x - y + z = 0 \quad \Rightarrow \quad x' - y' + z' = 0.$$



$$(x, y, z)$$

$$\downarrow$$

$$(x', y', z')$$

$$\xi := -z/x$$

$$\vdots$$

$$f_n$$

$$\downarrow$$

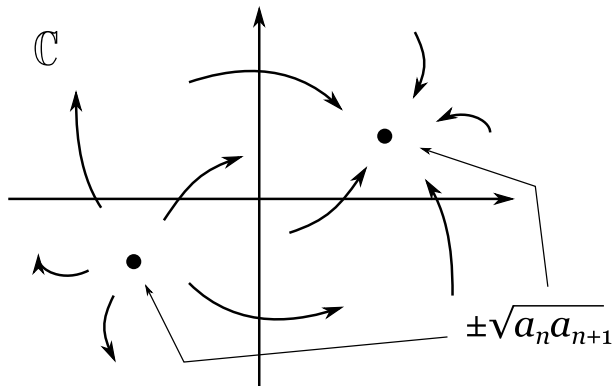
$$\xi' := -z'/x'$$

Lem $\xi' = \frac{\xi + a_n}{\xi/a_{n+1} + 1} (=: f_n(\xi)),$

where $a_n := \frac{s^n - s}{s^n - 1}, \quad s = -t.$

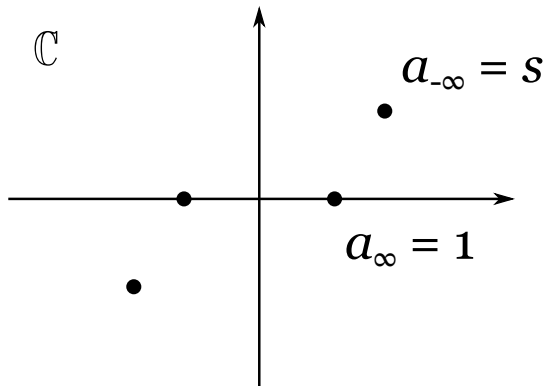
What are f_n like?

$$f_n(\xi) = \frac{\xi - a_n}{-\xi/a_{n+1} + 1} \quad a_n = \frac{s^n - s}{s^n - 1} \quad (\operatorname{Re} s \geq 1)$$



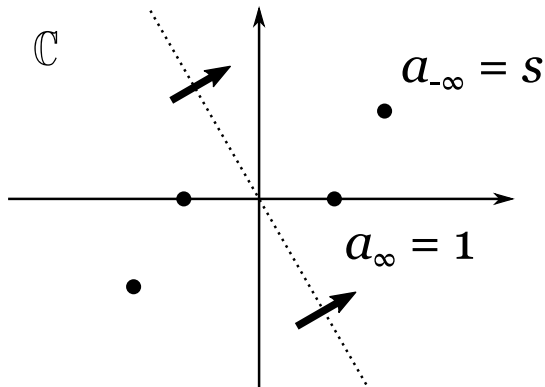
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What are f_n like?

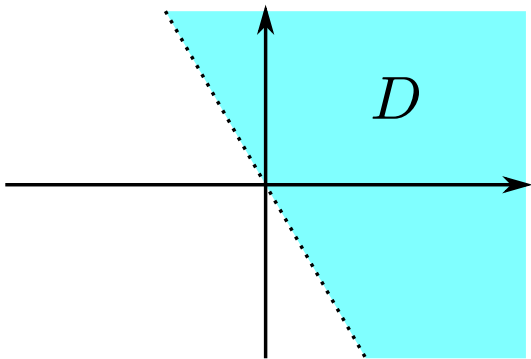
$$f_n(\xi) = \frac{\xi - a_n}{-\xi/a_{n+1} + 1} \quad a_n = \frac{s^n - s}{s^n - 1} \quad (\operatorname{Re} s \geq 1)$$



Assume $\operatorname{Re} s \geq 1$, ($\operatorname{Im} s \geq 0$,) $s \neq 1$.

Lem $\exists D \subset \mathbb{C}$: an open half plane s.t.

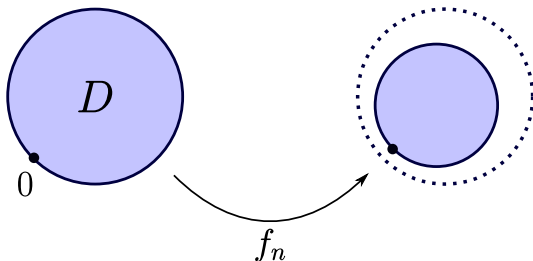
$$\begin{cases} f_n(\overline{D}) \subset D \quad (n \in \mathbb{Z} \setminus \{0, 1\}), \\ f_1(D) \subset D. \end{cases}$$



Assume $\operatorname{Re} s \geq 1$, ($\operatorname{Im} s \geq 0$,) $s \neq 1$.

Lem $\exists D \subset \mathbb{C}$: an open half plane s.t.

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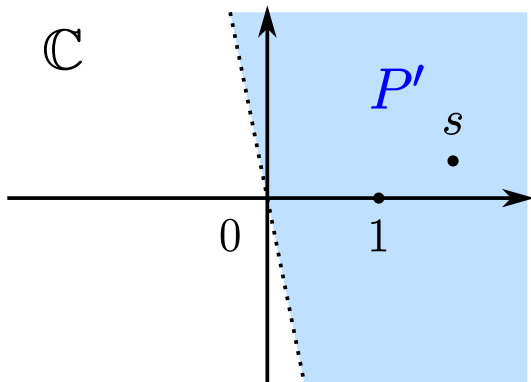


$\rightsquigarrow c_\tau = f_{n_k} \circ \cdots \circ f_{n_1}(0) \in D : c_\tau$ are “one-sided”.

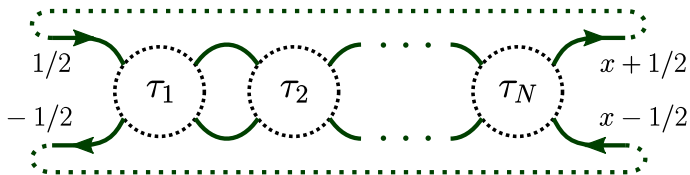
$c_\tau, c_{\tau'}$ are “one-sided” (when $\operatorname{Re} t \leq -2$)

$$P' := \{z \in \mathbb{C} \mid \operatorname{Re} x/s > 0\}.$$

Lem $\operatorname{Re} t \leq -2 \Rightarrow c_\tau, c_{\tau'} (= g_k(c_\tau)) \in P'.$



Proof of Theorem C



$$t \in \mathbb{C}, \quad \operatorname{Re} t \leq -2.$$

$$x = c_{\tau_1} + \cdots + c_{\tau_N} \stackrel{\text{Lem}}{\in} P'.$$

$$\rightsquigarrow x \neq 0, \text{ i.e. } \Delta_L(t) \neq 0.$$

Theorem C

L : an alternating Montesinos link

$$\Delta_L(t) = 0 \quad \Rightarrow \quad \operatorname{Re} t > -2.$$

Future problems

- Is there a (lower) bound of (the real parts of) the roots of the Alexander polynomials for the alternating knots? If there is, find it.
- Find the infimum of the real parts of the roots for the alternating Montesinos knots.
- Characterize (the closure of the set of) the roots for the 2-bridge knots.
- Can we say something about the roots of other polynomial invariants (e.g. Jones polyn.)?