

On the  $\theta$ -invariants  
of 3-manifolds

('19/6/7, Kanazawa)

Tatsuro Shimizu  
(RIMS, Kyoto univ.)

background

Chern-Simons q.f.t (Witten) '89

↓ perturbative expansion

Chern-Simons pert. theory (Kontsevich)  
 (Axelrod-Singer) '91

→ invariants of (a framed 3-mfd, a local system on the mfd.)

- trivial local system ... universal finite type inv. &  
 (Kuperberg-Thurston, Taubes, Lescop, ...)

- Non-trivial local system ... Bott-Cattaneo's inv.

(degree 1 term: "θ-invariant")

# background

Chern-Simons g.f.t (Witten)

↓ perturbative expansion

Chern-Simons pert. theory (Kontsevich)  
(Axelrod-Singer)

→ invariants of (a framed 3-mfd, a local system on the mfd.)

- trivial local system ... universal finite type inv. &  
 $(\underline{\mathbb{R}})$  (Kuperberg-Thurston, Taubes, Lescop, ...)

- Non-trivial local system ... Bott-Cattaneo's inv.

Today → "gap"

← (degree 1 term: "θ-invariant.")  
j.w.w/ A. Cattaneo

remove

# Plan of this talk

3/25

1.  $M = \mathbb{R}^3$ , trivial local system  $\mathbb{R}$
2.  $M$ : a punctured  $\mathbb{QHS}^3$ , triv. loc. system  $\mathbb{R}$   
 $(\rightarrow M; \mathbb{QH}\mathbb{R}^3)$
3.  $M$ : a closed 3-mfd,  $E$ : (non-trivial) loc. system  
(Bott-Cattaneo's original construction)
4. (Main result) Correction of 3. (j.-w.w/ A. S. Cattaneo)
- (5. "higher" inv.)

degree 1 term of C.S. pert theory ( $\Theta$ -inv.) (outline) 4

input {  $M$ : a framed 3-mfd  $\rightarrow$  i.e.  $TM \xrightarrow{\cong} M \times \mathbb{R}^3$   
 $E$ : a local system. ( s.t.  $H^i(M; E) = 0, i \geq 1$  )  
 + some conditions  
 }

$\exists$  propagator  $w \in \Omega^2(M^2 \setminus \Delta; E \boxtimes E)$  closed 2-form  
 diagonal

{  
 Configuration space integral "  $\int_{M^2 \setminus \Delta} w^3$  "  $\in \mathbb{R}$

Output  
 $I_\Theta(M, E) = " \int_{M^2 \setminus \Delta} w^3 "$   $\in \mathbb{R}$ .

1.  $M = \mathbb{R}^3$  ( $=$  punctured  $S^3$ ),  $\mathbb{R}$  (triv. loc. sys.) 5

(framing :  $TM = \mathbb{R}^3 \times \mathbb{R}^3$  (standard framing))

$$\rightarrow H^i(M; \mathbb{R}) = 0 \quad (i \geq 1)$$

• Let  $\varphi : M^2 \setminus \Delta$  ( $= \{(x,y) \mid x \neq y \in M\}$ )  $\xrightarrow{\downarrow}$   $S^2$  ( $\subset \mathbb{R}^3$ )  
 $(x, y) \xrightarrow{\frac{y-x}{\|y-x\|}}$  (Gauß map)

• Take  $\omega_{S^2} \in \Omega^2(S^2)$ ; volume form, ( $\int_{S^2} \omega_{S^2} = 1$ )

$\rightarrow \underline{\varphi^* \omega_{S^2}} \in \Omega^2(M^2 \setminus \Delta)$ , closed 2-form  
 $w^{!!}$  propagator

def  $I_\theta(M) = \int_{M^2 \setminus \Delta} w^3 \in \mathbb{R}$

( $\oplus$ -inv. of  $M (= \mathbb{R}^3)$ )

Remark : geometric meaning of  $\omega$  (propagator)

$\omega \in \Omega^2(M^2 - \Delta)$ , propagator

$K_1 \cup K_2 \subset M (= \mathbb{R}^3)$ , 2-comp. link.

$\rightarrow K_1 \times K_2 \subset M^2 - \Delta$ , a torus.

Fact

$$\int_{K_1 \times K_2} \omega|_{K_1 \times K_2} = lk(K_1, K_2)$$

2.  $M$ : a framed punctured  $\mathbb{Q}HS^3$ ,  $\mathbb{R}$  (triv. loc. sys) 7

$$\rightarrow H^i(M; \mathbb{R}) = 0 \quad (i \geq 1)$$

$$\cdot \varphi : M \times M \setminus \Delta \longrightarrow S^2 \quad (x, y) \mapsto \frac{y-x}{\|y-x\|} \quad ??$$

• Let  $N(\Delta) \subset M^2$ : small neighborhood of  $\Delta$  in  $M^2$ .

$$\cdot (x, y) \in N(\Delta) \setminus \Delta \iff x \sim y \implies y \in T_x M \stackrel{\text{framing}}{\cong} \mathbb{R}^3$$


$\implies \frac{y-x}{\|y-x\|}$  makes sense !!.

$$\rightarrow \varphi_\Delta : N(\Delta) \setminus \Delta \longrightarrow S^2 \quad (x, y) \mapsto \frac{y-x}{\|y-x\|}.$$

$$\rightarrow \varphi_\Delta^* \omega_{S^2} \in \Omega^2(N(\Delta) \setminus \Delta), \text{ closed}$$

{ extend

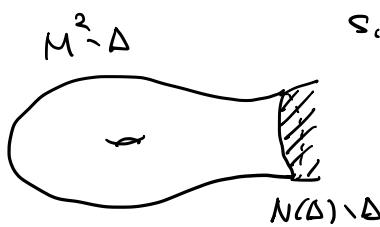


$$w \in \Omega^2(M^2 \setminus \Delta), \text{ closed}$$

propagator

# Propagator

Lem. & def. ① (existence)  $\exists \omega \in \Omega^2(M^2 \setminus \Delta)$  ; propagator



s.t.  $\left\{ \begin{array}{l} \bullet d\omega = 0 \\ \bullet \omega|_{N(\Delta) \setminus \Delta} = \varphi_\Delta^* \omega_S \text{ (boundary condition)} \\ \bullet \text{conditions on near punctured pt } \end{array} \right.$

② (uniqueness)  $\omega$  is unique as a cohomology class.

i.e.  $\forall \omega'$ : alt. choice,  $[\omega - \omega'] = 0 \in H^2(M^2 \setminus \Delta, N(\Delta) \setminus \Delta)$

-Proof

$$H^2(M; \mathbb{R}) = 0 \Rightarrow ① \text{ (existence)}$$

$$H^1(M; \mathbb{R}) = 0 \Rightarrow ② \text{ (uniqueness)}$$

//

## Definition of the invariant

$$\varphi_\Delta : N(\Delta) \setminus \Delta \rightarrow S^2$$

$$\varphi_\Delta^* \omega_{S^2} \in \Omega^2(N(\Delta) \setminus \Delta)$$

{ extend

$$\omega \in \Omega^2(M^2 \setminus \Delta), \text{ closed}$$

Propagator

def  $I_\Theta(M) = \int_{M^2 \setminus \Delta} \omega^3 \in \mathbb{R}$

( $\Theta$ -invariant of  $M$ )

# Well-def. of $I_\Theta(M)$

10

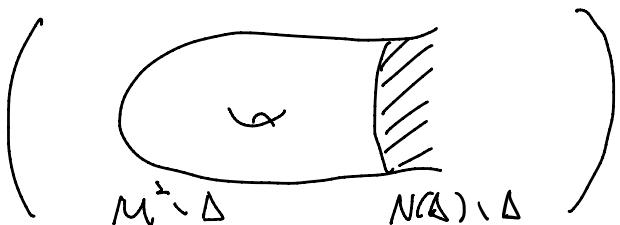
Thm. (Taubes, Kuperberg-Thurston, Lescop, ...)

$I_\Theta(M) \left( = \int_{M^2 \setminus \Delta} w^3 \right)$  is inv. of  $M$  (i.e. indep of the choice of  $w$ )

(proof)  $w_0, w_1 \in \Omega^2(M^2 \setminus \Delta)$  - propagators.

- $\rightarrow$  (by the def)  $w_0 = w_1 = \alpha_\Delta^* w_{S^2}$  on  $N(\Delta) \setminus \Delta$ .
- "uniqueness" ( $[w_0 - w_1] = 0 \in H^2(M^2 \setminus \Delta, N(\Delta) \setminus \Delta)$ )
  $\Rightarrow \exists \eta \in \Omega^1(M^2 \setminus \Delta, N(\Delta) \setminus \Delta)$  s.t.  $d\eta = w_0 - w_1$ .

$$\bullet \int_{M^2 \setminus \Delta} w_0^3 - \int_{M^2 \setminus \Delta} w_1^3 = \int_{\underline{M^2 \setminus N(\Delta)}} w_0^3 - w_1^3 = \int_{M^2 \setminus N(\Delta)} (w_0 - w_1)(w_0^2 + w_0 w_1 + w_1^2)$$



# Well-def. of $I_\Theta(M)$

10

Thm. (Taubes, Kuperberg-Thurston, Lescop, ...)

$I_\Theta(M) \left( = \int_{M^2 \setminus \Delta} w^3 \right)$  is inv. of  $M$  (i.e. indep of the choice of  $w$ )

(proof)  $w_0, w_1 \in \Omega^2(M^2 \setminus \Delta)$  - propagators.

- $\rightarrow$  (by the def)  $w_0 = w_1 = \varphi_\Delta^* \omega_{S^2}$  on  $N(\Delta) \setminus \Delta$ .
- "uniqueness" ( $[w_0 - w_1] = 0 \in H^2(M^2 \setminus \Delta, N(\Delta) \setminus \Delta)$ )
  $\Rightarrow \exists \eta \in \Omega^1(M^2 \setminus \Delta, N(\Delta) \setminus \Delta)$  s.t.  $d\eta = w_0 - w_1$ .

$$\begin{aligned} \bullet \int_{M^2 \setminus \Delta} w_0^3 - \int_{M^2 \setminus \Delta} w_1^3 &= \int_{M^2 \setminus N(\Delta)} w_0^3 - w_1^3 = \int_{M^2 \setminus N(\Delta)} (w_0 - w_1)(w_0^2 + w_0 w_1 + w_1^2) \\ &= \int_{M^2 \setminus N(\Delta)} d(\eta(w_0^2 + w_0 w_1 + w_1^2)) \stackrel{\text{Stokes thm}}{=} \int_{\partial N(\Delta)} (\eta|_{\partial N(\Delta)}) \left( \beta \cdot (\varphi_\Delta^* \omega_{S^2}|_{\partial N(\Delta)}) \right) \end{aligned}$$

# Well-def. of $I_\Theta(M)$

10

Thm. (Taubes, Kuperberg-Thurston, Lescop, ...)

$I_\Theta(M) \left( = \int_{M^2 \setminus \Delta} w^3 \right)$  is inv. of  $M$  (i.e. indep of the choice of  $w$ )

(proof)  $w_0, w_1 \in \Omega^2(M^2 \setminus \Delta)$  - propagator

- $\rightarrow$  (by the def)  $w_0 = w_1 = \varphi_\Delta^* w_{S^2}$  on  $N(\Delta) \setminus \Delta$ .
- "uniqueness" ( $[w_0 - w_1] = 0 \in H^2(M^2 \setminus \Delta, N(\Delta) \setminus \Delta)$ )
  $\Rightarrow \exists \eta \in \Omega^1(M^2 \setminus \Delta, \underline{N(\Delta) \setminus \Delta})$  s.t.  $d\eta = w_0 - w_1$ .

$$\begin{aligned} \bullet \quad \int_{M^2 \setminus \Delta} w_0^3 - \int_{M^2 \setminus \Delta} w_1^3 &= \int_{M^2 \setminus N(\Delta)} w_0^3 - w_1^3 = \int_{M^2 \setminus N(\Delta)} (w_0 - w_1)(w_0^2 + w_0 w_1 + w_1^2) \\ &= \int_{M^2 \setminus N(\Delta)} d(\eta(w_0^2 + w_0 w_1 + w_1^2)) = 0 \quad \text{(Stokes thm)} \\ &\quad \text{Stokes thm} \quad \text{dim } w_{S^2}^2 = 4 \quad \text{dim } S^2 \geq \dim M^2 = 4 \end{aligned}$$

Remark : geometric meaning of  $\omega$  (propagator)

$\omega \in \Omega^2(M^2 - \Delta)$ , propagator

$K_1 \cup K_2 \subset M$ : 2-comp. link.

$(M: \mathbb{Q}HS^3 \Rightarrow [K_1] = 0 \in H_1(M: \mathbb{Q})$   
 $\Rightarrow \exists n \in \mathbb{N}, \exists \Sigma \xrightarrow{\cong} M \text{ s.t. } \partial \Sigma = nK_1 \text{ (Seifert surf.)}$   
then  $lk(K_1, K_2) = \frac{1}{n} \# \Sigma \cap K_2$ )

fact

$$\int_{K_1 \times K_2} \omega|_{K_1 \times K_2} = lk(K_1, K_2)$$

## Known results about C.S. pert theory at $\mathbb{R}$ (triv. loc. sys.) 11

- $I_\Theta(M) = \frac{1}{6} \underline{\lambda^{CW}(M)} + \frac{3}{4}$  (signature defect of the framing)  
*Casson-Walker inv.* *(Taubes, ...)*
- $\Theta$  is a simplest trivalent graph (Jacobi diag.)  
→ For other (higher degree) graphs  $\Gamma$ ,  
 $I_\Gamma(M)$  are also defined. (KKT inv.)
- $\{I_\Gamma(M)\}_{\Gamma: \text{Jacobi diag}}$  is universal  
for all finite type invariants  $\tau$   
of  $ZHS^3$ . *(Lescop, ...)*

3.  $M$ : closed 3-mfd. (framed),  $E$ : loc. sys ( $\neq \mathbb{R}$ )

12/25

- Assumption  $H^i(M; E) = 0$ ,  $i \geq 1$ .

- $\varphi_\Delta : N(\Delta) \setminus \Delta \rightarrow S^2$ ,  $(x, y) \mapsto \frac{y-x}{\|y-x\|}$

- $\varphi_\Delta^* \omega_{S^2} \in \Omega^2(N(\Delta) \setminus \Delta)$

$\underbrace{\phantom{\varphi_\Delta^* \omega_{S^2}}}_{\text{extend}}$

$\# \omega \in \Omega^2(M^2 \setminus \Delta)$ , closed

in general

3.  $M$ : closed 3-mfld. (framed),  $E$ : loc. sys  $\neq \mathbb{R}$

12/25

- Assumption  $H^i(M; E) = 0$ ,  $i \geq 1$ .  
    (  $\hookrightarrow$  Bott-Cattaneo's  
    rnr. )
- $\varphi_\Delta : N(\Delta) \setminus \Delta \rightarrow S^2$ ,  $(x, y) \mapsto \frac{y-x}{\|y-x\|}$
- $c(\varphi_\Delta^* \omega_{S^2}) \in \Omega^2(N(\Delta) \setminus \Delta; E \boxtimes E)$   
    { extend  
 $\omega \in \Omega^2(M^2 \setminus \Delta; E \boxtimes E)$
- $\pi_i : M^2 \rightarrow M$ ,  $(x_1, x_2) \mapsto x_i$ , projection ( $i=1, 2$ )
- $E \boxtimes E := \pi_1^* E \otimes \pi_2^* E$ ; a local system on  $M^2$  (or  $M^2 \setminus \Delta$ )
- Assume  $\text{Im}(\underline{\pi_i(M, x)} \rightarrow \text{Aut } E_x) < SO(E_x)$  ( $x \in M$ ).  
 $\Rightarrow \exists c : \mathbb{R} \hookrightarrow E \boxtimes E|_{N(\Delta) \setminus \Delta}$   $\leftarrow$  monodromy of  $E$ .
- def  $\omega \in \Omega^2(M^2 \setminus \Delta; E \boxtimes E)$ , s.t. closed &  $\omega|_{N(\Delta) \setminus \Delta} = c(\varphi_\Delta^* \omega_{S^2})$   
propagator

## Bott-Cattaneo's construction

- $\omega \in \Omega^2(M^2 \setminus \Delta; E \otimes E)$ , propagator

- $I_\Theta(M, E) = \int_{M^2 \setminus \Delta} \omega^3 \in \Omega^6(M^2 \setminus \Delta; E^{\otimes 3} \otimes E^{\otimes 3})$

?

(Assume  $E$  is characterized by

$$\pi_1(M) \rightarrow G \xrightarrow{\text{Ad}} \text{Aut}(g) (= \text{SO}(\mathbb{R}^{\dim g}))$$

$\stackrel{\text{semi-simple}}{=}$   
Lie gp

$$\Rightarrow \exists \text{tr} : E \otimes E \otimes E \rightarrow \mathbb{R} \quad \text{killing form}$$

$$(\text{tr}(x \otimes y \otimes z) = \left\langle \underset{\substack{\uparrow \\ \text{Lie bracket}}}{[x, y]}, z \right\rangle, x, y, z \in g)$$

## Bott-Cattaneo's construction

- $\omega \in \Omega^2(M^2 \setminus \Delta; E \otimes E)$ , propagator

def  $I_\theta(M, E) = \int_{M^2 \setminus \Delta} \text{tr}^{\otimes 2} \circlearrowleft \omega^3 \in \Omega^6(M^2 \setminus \Delta; E^{\otimes 3} \otimes E^{\otimes 3})$

$\Omega^6(M^2 \setminus \Delta)$

$\mathbb{R} \otimes \mathbb{R} = \mathbb{R}$ .

$$\exists \text{tr} : E \otimes E \otimes E \rightarrow \mathbb{R}$$

↑ killing form

$$(\text{tr}(x \otimes y \otimes z) = \left\langle \underset{\text{Lie bracket}}{[x, y]}, z \right\rangle, x, y, z \in \mathfrak{g})$$

## Bott-Cattaneo's construction

"Lem" (Bott-Cattaneo)

- ①  $\exists$  propagator
- ② propagator is essentially "unique".

"proof"  $H^2_-(\Delta : E \otimes E) = 0 \Rightarrow \exists w : \text{propagator}$

$\begin{pmatrix} -1 \text{ eigen space} \\ \text{of involution} \\ E \otimes E \ni x \otimes y \mapsto y \otimes x \end{pmatrix}$

$H^1_-(\Delta : E \otimes E) = 0 \Rightarrow$  "uniqueness" of propagator.

$\Rightarrow$  "Thm" (Bott-Cattaneo)

$I_\theta(M, E) \left( = \int_{M^2 \setminus \Delta} \text{tr}^{\otimes 2} w^3 \right)$  if an inv. of  $\Omega(M, E)$ .

## Bott-Cattaneo's construction

"Lem" (Bott-Cattaneo)

①  $\exists$  propagator

② propagator is essentially "unique".

?

"proof"  $H^2_-(\Delta : E \otimes E) = 0 \Rightarrow \exists w : \text{propagator}$

$\left( \begin{array}{l} -1 \text{ eigen space} \\ \text{of involution} \\ E \otimes E \ni x \otimes y \mapsto y \otimes x \end{array} \right)$

error

$H^1_-(\Delta : E \otimes E) = 0 \Rightarrow$  "uniqueness" of propagator.

$\Rightarrow$  "Thm" (Bott-Cattaneo)

$I_\theta(M, E) \left( = \int_{M^2 \setminus \Delta} \text{tr}^{\otimes 2} w^3 \right)$  if an inv. of  $\langle M, E \rangle$ .

?

## Summary

- M : a closed 3-mfd., E : a local system (corresponding to  
 $\pi_1 M \rightarrow G \rightarrow \text{Aut}(g)$ )

- $C(\varphi_\Delta^* \omega_{S^2}) \in \Omega^2(N(\Delta) \setminus \Delta; E \otimes E)$

} extend

$$\omega \in \Omega^2(M^2 \setminus \Delta; E^{\otimes 3} \otimes E^{\otimes 3}), \text{ propagator}$$

s.t. 
$$\left. \begin{array}{l} \bullet d\omega = 0 \\ \bullet \omega|_{N(\Delta) \setminus \Delta} = C(\varphi_\Delta^* \omega_{S^2}). \end{array} \right.$$

- $I_\theta(M, E) = \int_{M^2 \setminus \Delta} \text{tr}^{\otimes 2} \omega^3 \in \mathbb{R}.$

#### 4. "revised" invariant of $(M, E)$

- $M$ : a closed 3-mfd.,  $E$ : a local system

(Corresponding to  
 $\pi_1 M \rightarrow G \rightarrow \text{Aut}(g)$ )

- $c(\ell_\Delta^* \omega_{S^2}) \in \Omega^2(N(\Delta) \setminus \Delta; E \otimes E)$

$\left\{ \begin{matrix} \text{extend} \\ \text{propagator} \end{matrix} \right.$

$$\omega \in \Omega^2(M^2 \setminus \Delta; E^{\otimes 3} \otimes E^{\otimes 3}), \quad \text{propagator}$$

s.t.  $\left\{ \begin{matrix} \bullet d\omega = 0 \\ \bullet \omega|_{N(\Delta) \setminus \Delta} = c(\ell_\Delta^* \omega_{S^2}) + \underline{q^* \vec{z}} \end{matrix} \right. \quad \left( \begin{matrix} \text{"weak"} \\ \partial\text{-condi} \end{matrix} \right)$

$\int_{\overbrace{M^2 \setminus \Delta}^{J\theta(\omega)}} \text{tr}^{\otimes 2} \omega^3 - 3 \int_{\overbrace{M^2 \setminus \Delta}^{J\omega_0(\omega, \vec{z})}} \text{tr}^{\otimes 2} (\pi_1^* \vec{z} \omega \pi_2^* \vec{z}) \quad \Downarrow \exists \omega.$

"new" 2-condi. of propagator

$$N(\Delta) \setminus \Delta \xrightarrow{\varphi_\Delta} S^2$$

$\downarrow \text{f (proj.)}$

$\Delta$

Lem  $\exists \tilde{\omega} \in \Omega^2(\Delta : E \otimes E)$ , closed

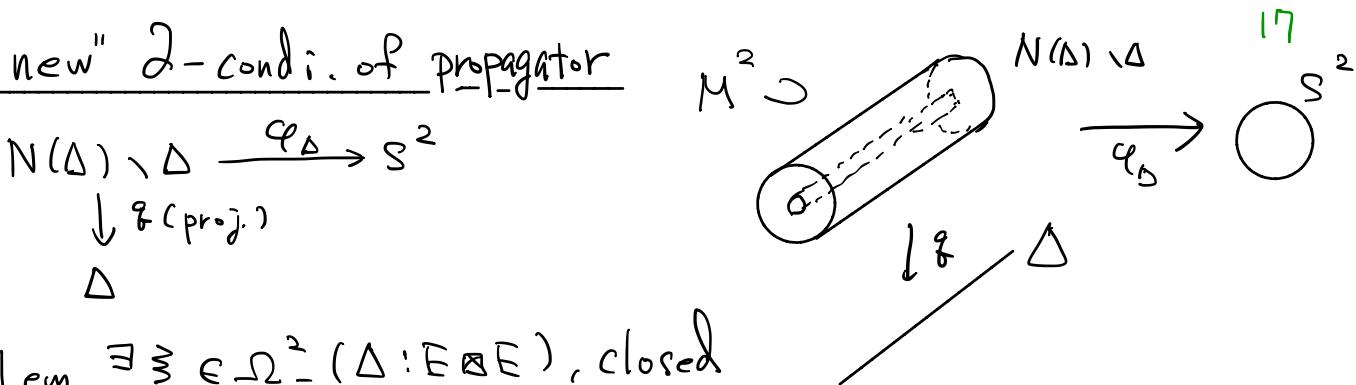
s.t.  $c(\varphi_\Delta^* \omega_{S^2}) + q^* \tilde{\omega}$  can be extend to  $M^2 \setminus \Delta$

as a closed 2-form in  $\Omega^2(M^2 \setminus \Delta : E \otimes E)$ .

Rmk  $[\tilde{\omega}] \in H^2(\Delta : E \otimes E)$  is unique.

def  $w \in \Omega^2(M^2 \setminus \Delta : E \otimes E)$  is a propagator (w.r.t.  $\tilde{\omega}$ )

if  $\begin{cases} \cdot dw = 0 \\ \cdot w|_{N(\Delta) \setminus \Delta} = c(\varphi_\Delta^* \omega_{S^2}) + q^* \tilde{\omega} \end{cases}$  "new" 2-condition.



17

## Def of revised invariant

Lem  $\exists$  propagator

Rank  $w_0, w_1$ : propagator w.r.t.  $\vec{z}$ .

In general,  $[w_0 - w_1] \neq 0 \in H^2(M^2 \setminus \Delta, N(\Delta) \setminus \Delta; E \otimes E)$ .

$$\begin{aligned} \text{Def } J_\theta(w) &= \int_{M^2 \setminus \Delta} \text{tr} \otimes^2 w^3, \quad J_{\theta=0}(w, \vec{z}) = \int_{M^2 \setminus \Delta} \text{tr} \otimes^2 (\pi_1^* \vec{z} \wedge \pi_2^* \vec{z}) \\ &\left( \begin{array}{c} w \\ \text{---} \\ w \end{array} \right) \quad \left( \begin{array}{ccc} \vec{z} & \text{---} & \vec{z} \end{array} \right) \end{aligned}$$

Thm. (Cattaneo - S.)

①  $J_\theta(w), J_{\theta=0}(w, \vec{z})$  are invariants of  $M$  and  $\vec{z}$ .  
 (depend on the choice of  $\vec{z}$ )

②  $I_1(M) := J_\theta(w) - 3J_{\theta=0}(w, \vec{z})$  is an inv. of  $M$ .

## Remark on " $I_\theta(\mu) = J_\theta(\omega) - 3 J_{00}(\omega, \vec{z})$ "

19

- $\theta, 0-0$  : degree 1 (Feynman) diagram.
- perturbative expansion  $\rightsquigarrow J_\theta(\omega), J_{00}(\omega, \vec{z})$

(cf. Axelrod-Singer's Chern-Simons pert. theory.)

- When  $G = SU(2)$ ,  $[\vec{z}] = 0 \in H^2(\Delta; E \otimes E)$ .

→ we can take  $\vec{z} = 0$

$$\rightarrow J_{00}(\omega, \vec{z}) = 0, \quad \omega|_{N(\Delta) \setminus \Delta} = c(\varphi_\Delta^* \omega_{S^2}) + \cancel{g^* \vec{z}}$$

## Proof of well-def. of $I_1(M)$ ①

[ ①  $J_\theta(\omega), J_{\theta=0}(\omega, \Xi)$  are invariants of  $M$  and  $\Xi$ . ]

Proof  $\omega_0, \omega_1 \in \Omega^2(M^2 \setminus \Delta; E \otimes E)$ , propagator

$$\Rightarrow \exists \eta \in \Omega^1(M^2 \setminus \Delta; E \otimes E) \text{ s.t. } d\eta = \omega_1 - \omega_0$$

$$(\notin \Omega^1(M^2 \setminus \Delta, \underline{N(\Delta) \setminus \Delta}; E \otimes E))$$

# Proof of well-def. of $I_1(M)$ ①

[ ①  $J_\theta(\omega), J_{\theta=0}(\omega, \vec{z})$  are invariants of  $M$  and  $\vec{z}$ . ]

Proof  $\omega_0, \omega_1 \in \Omega^2(M^2 \setminus \Delta; E \otimes E)$ , propagator

$$\Rightarrow \exists \eta \in \Omega^1(M^2 \setminus \Delta; E \otimes E) \text{ s.t. } d\eta = \omega_1 - \omega_0$$

$$\therefore J_\theta(\omega_0) - J_\theta(\omega_1) = \int_{M^2 \setminus \Delta} \text{tr}^{\otimes 2} (\omega_0^3 - \omega_1^3) = \int_{M^2 \setminus N(\Delta)} \text{tr}^{\otimes 2} ((\omega_0 - \omega_1)(\omega_0^2 + \omega_0 \omega_1 + \omega_1^2))$$

$\uparrow$   $w_0 = w_1 \text{ on } N(\Delta) \setminus \Delta$

$$= \int_{\partial N(\Delta)} \text{tr}^{\otimes 2} (\eta \Big|_{\partial N(\Delta)} (c(\varphi_\Delta^* \omega_{S^2}) + q^* \vec{z})^2)$$

$$= \int_{\partial N(\Delta)} \text{tr}^{\otimes 2} \left( \eta \Big|_{\partial N(\Delta)} \left( c(\varphi_\Delta^* \omega_{S^2}^2) + c(\varphi_\Delta^* \omega_{S^2}) q^* \vec{z} + q^* \vec{z}^2 \right) \right)$$

$\stackrel{0}{\underset{0}{\leftarrow \rightarrow}}$  dim. reason

$$= \int_{\partial N(\Delta)} \text{tr}^{\otimes 2} (\eta \Big|_{\partial N(\Delta)} c(\varphi_\Delta^* \omega_{S^2}) q^* \vec{z}) = 0 !!$$

(  $H(\Delta; E) = 0$  & property of  $\text{tr}^{\otimes 2}$  )

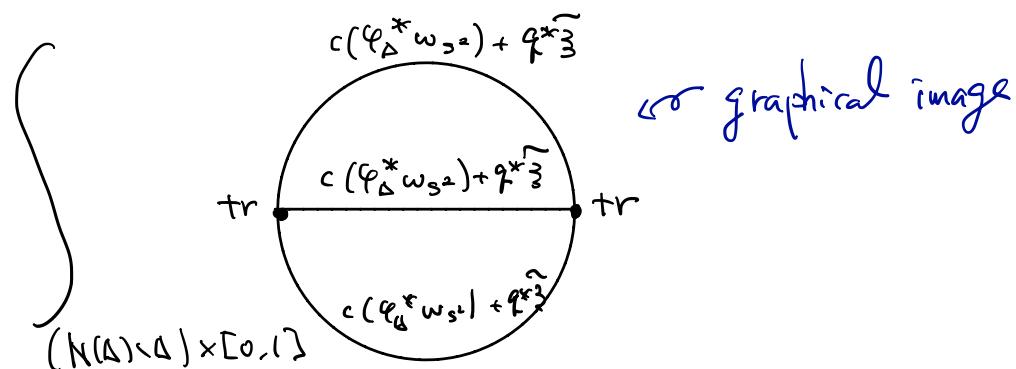
Proof of well-def. of  $I_1(M)$  (2)

[ ②  $I_1(M) := J_\theta(\omega) - \Im J_{\Delta=0}(\omega, \vec{z})$  is an inv. of  $M$ . ]

proof (idea)

- $\vec{z}' \in \Omega^2(\Delta : E \otimes E)$ , alt. choice, ( $\omega'$ : propagator w.r.t.  $\vec{z}'$ )  
 $\Rightarrow \exists \tilde{\vec{z}}^2 \in \Omega(\Delta \times [0, 1] : E \otimes E)$ , closed,  $\tilde{\vec{z}}|_{\Delta=0} = \vec{z}$ ,  $\tilde{\vec{z}}|_{\Delta=1} = \vec{z}'$ .

$$\rightarrow J_\theta(\omega) - J_\theta(\omega') = \dots = \underbrace{\int_{(N(\Delta) \setminus \Delta) \times [0, 1]} \text{tr}^2 (c(\varphi_\Delta^* \omega_{S^2}) + q^* \tilde{\vec{z}})^3}_{(N(\Delta) \setminus \Delta) \times [0, 1]}$$



# Proof of well-def. of $I_1(M)$ (2)

22

$$\begin{aligned}
 & c(\varphi_{\Delta}^* \omega_{S^2}) + \tilde{\varphi^*} \tilde{3} \\
 & \text{tr} + c(\varphi_{\Delta}^* \omega_{S^2}) = c(\varphi_{\Delta}^* \omega_{S^2}) + c(\varphi_{\Delta}^* \omega_{S^2}) + c(\varphi_{\Delta}^* \omega_{S^2}) + \dots \\
 & \quad + \underbrace{c(\varphi_{\Delta}^* \omega_{S^2}) + \tilde{\varphi^*} \tilde{3}}_{(8 \text{ terms})} \\
 & = \left[ (\varphi_{\Delta}^* \omega_{S^2})^2 = 0 \right]_{\substack{3 \\ = 0}} \\
 & \quad + c(\varphi_{\Delta}^* \omega_{S^2}) + c(\varphi_{\Delta}^* \omega_{S^2}) + c(\varphi_{\Delta}^* \omega_{S^2})
 \end{aligned}$$

dim. reason

# Proof of well-def. of $J_1(\omega)$ ②

23

$$\Rightarrow J_\theta(\omega) - J_\theta(\omega') = \int_{(N(\Delta) \setminus \Delta) \times [0,1]} \text{tr}^{\otimes 2} (c(\varphi_\Delta^* \omega_{S^2}) q^* \tilde{z}^2)$$

Similarly,  $J_{0-0}(\omega, \tilde{z}) - J_{0-0}(\omega', \tilde{z}')$

$$= \dots = \int_{(N(\Delta) \setminus \Delta) \times [0,1]} \begin{array}{c} q^* \tilde{z} \\ \text{tr} \\ \text{tr} \\ c(\varphi_\Delta^* \omega_{S^2}) + q^* \tilde{z} \end{array} \begin{array}{c} q^* \tilde{z} \\ \text{tr} \\ \text{tr} \\ \text{tr} \end{array} = \int_{(N(\Delta) \setminus \Delta) \times [0,1]} \begin{array}{c} q^* \tilde{z} \\ \text{tr} \\ c(\varphi_\Delta^* \omega_{S^2}) \\ q^* \tilde{z} \end{array}$$

$$q^* \tilde{z} = 0$$

$$\Rightarrow J_{0-0}(\omega, \tilde{z}) - J_{0-0}(\omega', \tilde{z}') = \int_{(N(\Delta) \setminus \Delta) \times [0,1]} \text{tr}^{\otimes 2} (c(\varphi_\Delta^* \omega_{S^2}) \cdot q^* \tilde{z}^2)$$

therefore,  $J_\theta(\omega) - 3J_{0-0}(\omega, \tilde{z}) = J_\theta(\omega') - 3J_{0-0}(\omega', \tilde{z}')$

//

## Remark (framing correction)

- $M$ : a closed 3-mfd,  $\tau_0, \tau_1 : TM \xrightarrow{\sim} M \times \mathbb{R}^3$ , framing  
 $(\rightarrow (M, \tau_0), (M, \tau_1) : \text{framed 3-mfd.})$
- $E$ : a loc. sys. on  $M$  characterized by  $\pi_i M \rightarrow G \rightarrow \text{Aut}(g)$   
 $\leadsto I_1((M, \tau_0), E), I_1((M, \tau_1), E)$

### Prop (Cartan - S.)

$$I_1((M, \tau_0), E) - I_1((M, \tau_1), E) = \frac{3}{4} (\dim g)^2 (\delta(\tau_0) - \delta(\tau_1))$$

the signature defect  
of  $\tau_0, \tau_1$ .

Cor.  $I_1((M, \tau_0), E) - \frac{3}{4} (\dim g)^2 \delta(\tau_0)$

is an inv. of  $(M, E)$ .

# 5. higher degree inv. (review) $G = SU(2)$

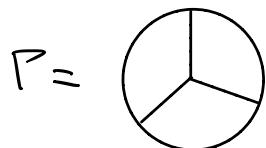
( $\rightarrow$  we take  $\Im = 0$ )

25/25

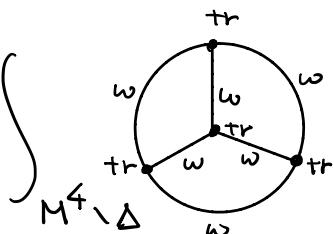
- $\Theta, (0-0) \dots$  degree 1 graph.

- $\mathbb{H}_P \dots$  trivalent graph (w/o  $\circlearrowleft$ ),  $J_P(\omega)$  is defined

e.g.



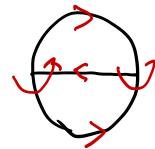
$$\rightarrow J_P(\omega) = \{$$



$$M^4 \setminus \Delta$$

- $\mathcal{A} := \left( \mathbb{Q}-\text{vct. space, spaned by all } \underline{\text{oriented}} \text{ trivalent graph} \right)$
- ↑
- $\delta$ : differential.

e.g.



- For  $\sum_i a_i P_i \in \mathcal{A}$ , s.t.  $\delta(\sum_i a_i P_i) = 0$ ,

$\sum_i a_i J_{P_i}(\omega)$  is an inv. of  $(M, E)$ .