



# Non-Semisimple TQFTs & Quantum Groups

(Joint Work with Nathan Geer and Bertrand Patureau)

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# Topological Quantum Field Theories

## Definition (TQFT)

Symmetric monoidal functor  $V$  from a category of cobordisms to a category of vector spaces

## Idea

- Closed  $d - 1$ -manifold  $\mapsto$  Vector space
- $d$ -Manifold / homeomorphism relative to  $\partial \mapsto$  Linear map
- Disjoint union  $\mapsto$  Tensor product
- Gluing along  $\partial \mapsto$  Composition

$$\begin{array}{ccc} \Gamma \begin{array}{c} \Sigma \\ \diagdown \quad \diagup \\ \text{---} \end{array} \Gamma' & \xrightarrow{V} & V(\Sigma) \\ & & V(\Gamma) \longrightarrow V(\Gamma') \end{array}$$

# Why TQFTs?

## Selling Points

- Topological invariants with good locality properties,  
i.e. computable by cut-and-paste methods
- Deep applications outside of quantum topology,  
e.g. representations of mapping class groups

# Non-Semisimple Constructions

- TQFT constructions usually have algebraic flavor
- Typical ingredients include quantum groups
- Classical approaches require semisimplicity

## Warning

Quantum groups occurring in nature are not semisimple, so they have to undergo a quotient process which sacrifices information

## Idea

Come up with constructions which work in non-semisimple settings

# CGP Invariants

## Algebraic Ingredient

$U^H$  unrolled  $\mathfrak{sl}_2$  at  $q = e^{\frac{2\pi i}{r}}$  with  $r \geq 3$  integer

## Topological Invariant (Costantino-Geer-Patureau)

$N_r(M, T, \omega) \in \mathbb{C}$  defined via surgery for admissible triples

- $M$  closed oriented 3-manifold
- $T \subset M$  framed link colored with  $U^H$ -modules
- $\omega \in H^1(M \setminus T; \mathbb{C}/2\mathbb{Z})$  compatible cohomology class

## Admissibility

- Either  $T$  admits a color in  $\text{Proj}(\mathcal{C}^H)$
- Or  $\omega$  admits an evaluation in  $\mathbb{C}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$

## Theorem (Blanchet-Costantino-Geer-Patureau,D)

$N_r$  extends to a  $\mathbb{Z}$ -graded TQFT  $\mathbb{V}_r$  for every integer  $r \geq 3$

## Highlights

- Akutsu-Deguchi-Ohtsuki link invariant is contained in  $N_r$
- Abelian Reidemeister torsion is contained in  $N_4$
- Non-separating Dehn twists have infinite order under  $\mathbb{V}_r$

# Renormalized Hennings Invariants

## Algebraic Ingredient

$\bar{U}$  small/restricted  $\mathfrak{sl}_2$  at  $q = e^{\frac{2\pi i}{r}}$  with  $r \geq 3$  odd/even integer

## Topological Invariant (D-Geer-Patureau)

$H'_r(M, T) \in \mathbb{C}$  defined via surgery for admissible pairs

- $M$  closed oriented 3-manifold
- $T \subset M$  framed link colored with  $\bar{U}$ -modules

## Admissibility

$T$  admits a color in  $\text{Proj}(\bar{\mathcal{C}})$

# Renormalized Hennings TQFTs

## Theorem (D-Geer-Patureau)

$H'_r$  extends to a TQFT  $V_r$  for every odd integer  $r \geq 3$

## Highlights

- Constructions are less technical
- Generalized Kashaev invariant of Murakami is contained in  $H'_r$
- Non-separating Dehn twists have infinite order under  $V_r$

# Main Result

- $\bar{\mathcal{C}}$  category of finite-dimensional  $\bar{U}$ -modules
- $\mathcal{C}^H$  category of finite-dimensional weight  $U^H$ -modules
- $\mathcal{C}^H \cong \bigoplus_{\bar{\alpha} \in \mathbb{C}/2\mathbb{Z}} \mathcal{C}_{\bar{\alpha}}^H$  with respect to weights
- $\Phi : \mathcal{C}_{\bar{0}}^H \rightarrow \bar{\mathcal{C}}$  forgetful functor

## Theorem (D-Geer-Patureau)

$N_r(M, T, 0) = H'_r(M, \Phi(T))$  for every odd integer  $r \geq 3$

- Proof uses TQFT extensions
- Result can be generalized to every simple Lie algebra

# Quantum Groups of $\mathfrak{sl}_2$

Fix odd integer  $r \geq 3$

## Small quantum group $\bar{U}$

- **Generators:**  $E, F, K, K^{-1}$
- **Relations:**  $KK^{-1} = K^{-1}K = 1,$   
 $KEK^{-1} = q^2E, \quad KFK^{-1} = q^{-2}F, \quad [E, F] = \frac{K - K^{-1}}{q - q^{-1}},$   
 $K^r = 1, \quad E^r = F^r = 0$

## Unrolled quantum group $U^H$

- **Generators:**  $E, F, K, K^{-1}, H$
- **Relations:**  $KK^{-1} = K^{-1}K = 1,$   
 $KEK^{-1} = q^2E, \quad KFK^{-1} = q^{-2}F, \quad [E, F] = \frac{K - K^{-1}}{q - q^{-1}},$   
 $[H, K] = 0, \quad [H, E] = 2E, \quad [H, F] = -2F, \quad E^r = F^r = 0$

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# Small Quantum Group

$\bar{\mathcal{C}}$  category of finite-dimensional  $\bar{U}$ -modules

## Rigidity

Every  $V \in \bar{\mathcal{C}}$  determines

$$\begin{aligned}\stackrel{\leftarrow}{\text{ev}}_V : V^* \otimes V &\rightarrow \mathbb{C} \\ f \otimes v &\mapsto f(v)\end{aligned}$$

$$\begin{aligned}\stackrel{\leftarrow}{\text{coev}}_V : \mathbb{C} &\rightarrow V \otimes V^* \\ 1 &\mapsto \sum_i v_i \otimes f_i\end{aligned}$$

$$\begin{aligned}\stackrel{\rightarrow}{\text{ev}}_V : V \otimes V^* &\rightarrow \mathbb{C} \\ v \otimes f &\mapsto f(g \cdot v)\end{aligned}$$

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with  $\{v_i\}$  basis of  $V$ ,  $\{f_i\}$  dual basis, and  $g := K \in \bar{U}$

# Small Quantum Group

$\bar{\mathcal{C}}$  category of finite-dimensional  $\bar{U}$ -modules

## Braiding

All  $V, V' \in \bar{\mathcal{C}}$  determine

$$\begin{aligned} c_{V,V'} : \quad V \otimes V' &\rightarrow V' \otimes V \\ v \otimes v' &\mapsto \tau(R \cdot v \otimes v') \end{aligned}$$

with  $\tau(v \otimes v') := v' \otimes v$  for all  $v \in V, v' \in V'$ , and

$$R := \frac{1}{r} \sum_{a,b,c=0}^{r-1} \frac{\{1\}^a}{[a]!} q^{\frac{a(a-1)}{2} - 2bc} K^b E^a \otimes K^c F^a \in \bar{U} \otimes \bar{U}$$

$$\{a\} := q^a - q^{-a}, \quad [a] := \frac{\{a\}}{\{1\}}, \quad [a]! := [a][a-1]\cdots[1] \quad \forall a \in \mathbb{N}$$

# Unrolled Quantum Group

$\mathcal{C}^H$  category of finite-dimensional weight  $U^H$ -modules

## Weight Module

$U^H$ -module  $V$  with  $H$  diagonalizable and  $K = q^H$ , meaning

$$H \cdot v = \alpha v \quad \Rightarrow \quad K \cdot v = q^\alpha v \quad \forall v \in V$$

$$q^\alpha := e^{\frac{2\alpha\pi i}{r}} \quad \forall \alpha \in \mathbb{C}$$

- $\mathcal{C}_{\bar{\alpha}}^H$  full subcategory of  $\mathcal{C}^H$  with weights in  $\bar{\alpha} \in \mathbb{C}/2\mathbb{Z}$
- $\Phi : \mathcal{C}_{\bar{0}}^H \rightarrow \bar{\mathcal{C}}$  forgets about  $H$

# Unrolled Quantum Group

$\mathcal{C}^H$  category of finite-dimensional weight  $U^H$ -modules

## Rigidity

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with  $\{v_i\}$  basis of  $V$ ,  $\{f_i\}$  dual basis, and  $g := K^{-r+1} \in U^H$

# Unrolled Quantum Group

$\mathcal{C}^H$  category of finite-dimensional weight  $U^H$ -modules

## Braiding

All  $V, V' \in \mathcal{C}^H$  determine

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with  $\tau(v \otimes v') := v' \otimes v$  for all  $v \in V, v' \in V'$ , and

$$R := q^{\frac{H \otimes H}{2}} \sum_{a=0}^{r-1} \frac{\{1\}^a}{[a]!} q^{\frac{a(a-1)}{2}} E^a \otimes F^a \in \text{End}_{\mathcal{C}^H}(V \otimes V')$$

$$H \cdot v = \alpha v, \quad H \cdot v' = \alpha' v' \Rightarrow q^{\frac{H \otimes H}{2}} \cdot v \otimes v' := q^{\frac{\alpha \alpha'}{2}} v \otimes v' \quad \forall v \in V, v' \in V'$$

# Reshetikhin-Turaev Functors

- $\mathcal{C}$  ribbon category
- $\mathcal{R}_{\mathcal{C}}$  category of  $\mathcal{C}$ -colored ribbon graphs

There exists  $F_{\mathcal{C}} : \mathcal{R}_{\mathcal{C}} \rightarrow \mathcal{C}$  monoidal functor

$$\begin{array}{ccc} V & \mapsto & \stackrel{\leftarrow}{\text{ev}}_V \\ \text{---} \curvearrowright & & \end{array}$$

$$\begin{array}{ccc} V & \mapsto & \stackrel{\leftarrow}{\text{coev}}_V \\ \text{---} \curvearrowleft & & \end{array}$$

$$\begin{array}{ccc} V & \mapsto & \stackrel{\rightarrow}{\text{ev}}_V \\ \text{---} \curvearrowright & & \end{array}$$

$$\begin{array}{ccc} V & \mapsto & \stackrel{\rightarrow}{\text{coev}}_V \\ \text{---} \curvearrowleft & & \end{array}$$

$$\begin{array}{ccc} V' \times V & \mapsto & c_{V,V'} \\ \text{---} \times \text{---} & & \end{array}$$

# Problem with Non-Semisimple Categories

## Warning

If  $\mathcal{C}$  is non-semisimple then for all  $V \in \text{Proj}(\mathcal{C})$  and  $f \in \text{End}_{\mathcal{C}}(V)$

$$\begin{array}{c} f \\ \square \\ \nearrow \\ V \end{array} \quad \doteq \quad 0$$

## Idea

Replace trace with non-degenerate operation having same behavior

# Projective Traces

$\mathcal{C}$  ribbon  $\mathbb{k}$ -linear category

## Definition (Trace on $\text{Proj}(\mathcal{C})$ )

$$t := \{t_V : \text{End}_{\mathcal{C}}(V) \rightarrow \mathbb{k} \mid V \in \text{Proj}(\mathcal{C})\}$$

- $t_V \begin{pmatrix} \uparrow V \\ f' \\ \uparrow V' \\ f \\ \uparrow V \end{pmatrix} = t_{V'} \begin{pmatrix} \uparrow V' \\ f \\ \uparrow V \\ f' \\ \uparrow V' \end{pmatrix}$   $\forall V, V' \in \text{Proj}(\mathcal{C})$   
 $\forall f \in \text{Hom}_{\mathcal{C}}(V, V')$   
 $\forall f' \in \text{Hom}_{\mathcal{C}}(V', V)$
- $t_{V \otimes V'} \begin{pmatrix} V \uparrow & \uparrow V' \\ f \\ V \uparrow & \uparrow V' \end{pmatrix} = t_V \begin{pmatrix} V \uparrow & V' \\ f \\ V \uparrow & \circlearrowleft \end{pmatrix}$   $\forall V \in \text{Proj}(\mathcal{C})$   
 $\forall V' \in \mathcal{C}$   
 $\forall f \in \text{End}_{\mathcal{C}}(V \otimes V')$

# Existence and Non-Degeneracy of Projective Traces

## Definition (Non-Degeneracy of Trace $t$ on $\text{Proj}(\mathcal{C})$ )

The pairing  $t_V(\cdot \circ \cdot) : \text{Hom}_{\mathcal{C}}(V', V) \otimes \text{Hom}_{\mathcal{C}}(V, V') \rightarrow \mathbb{C}$  is non-degenerate for all  $V \in \text{Proj}(\mathcal{C}), V' \in \mathcal{C}$

## Theorem (Geer-Patureau-Virelizier)

Up to scalar, there exists a unique trace  $t^H$  on  $\text{Proj}(\mathcal{C}^H)$ , and furthermore  $t^H$  is non-degenerate

## Theorem (Beliakova-Blanchet-Gainutdinov)

Up to scalar, there exists a unique trace  $\bar{t}$  on  $\text{Proj}(\bar{\mathcal{C}})$ , and furthermore  $\bar{t}$  is non-degenerate

## Strategy

Work in the semisimple part of  $\mathcal{C}^H$

- $\alpha \in \mathbb{C} \setminus \mathbb{Z}$
- $V_\alpha$  simple projective  $U^H$ -module of highest weight  $\alpha$
- $\mathcal{C}_{\bar{\alpha}}^H$  semisimple and dominated by  $\{V_{\alpha+2j} \mid j \in \mathbb{Z}\}$
- $d^H(V_{\alpha+2j}) := \frac{r\{\alpha+2j+1\}}{\{r\alpha\}}$  where  $\{\alpha\} := q^\alpha - q^{-\alpha} \forall \alpha \in \mathbb{C}$
- $\Omega_\alpha := \sum_{j=0}^{r-1} d^H(V_{\alpha+2j}) V_{\alpha+2j}$  Kirby color

# Sketch of CGP Construction

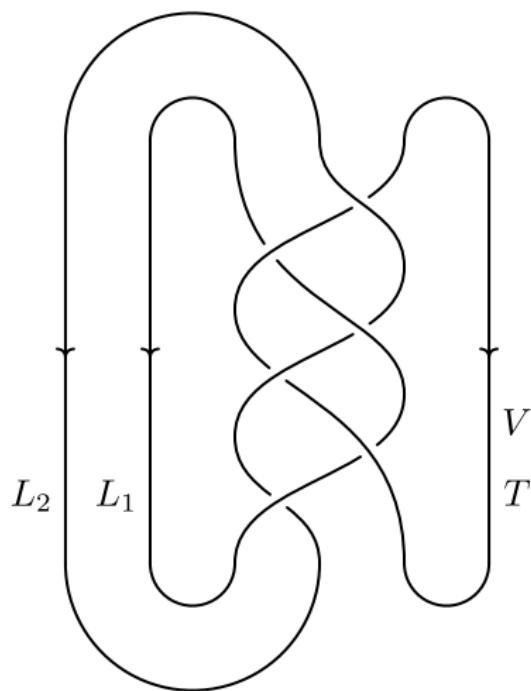
- $(M, T, \omega)$  admissible connected triple
- $L = L_1 \cup \dots \cup L_\ell \subset S^3$  oriented surgery presentation of  $M$  of signature  $\sigma(L)$
- $L_\omega$  obtained from  $L$  by labeling every component  $L_i$  with  $\Omega_{\alpha_i}$  such that  $\langle \omega, m_i \rangle = \bar{\alpha}_i$  for a positive meridian  $m_i$  of  $L_i$
- $L'_\omega \cup T'$  obtained from  $L_\omega \cup T$  by cutting an edge of color  $V \in \text{Proj}(\mathcal{C}^H)$

## CGP Invariant

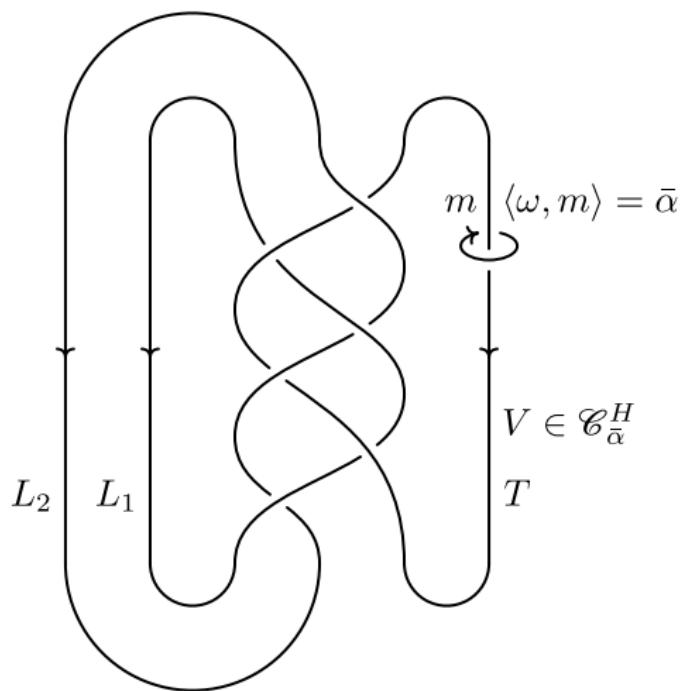
$$N_r(M, T, \omega) := \mathcal{D}^{-1-\ell} \delta^{-\sigma(L)} t_V^H(F_{\mathcal{C}^H}(L'_\omega \cup T'))$$

$$\mathcal{D} := r^{\frac{3}{2}} \quad \delta := i^{-\frac{r-1}{2}} q^{\frac{r-3}{2}}$$

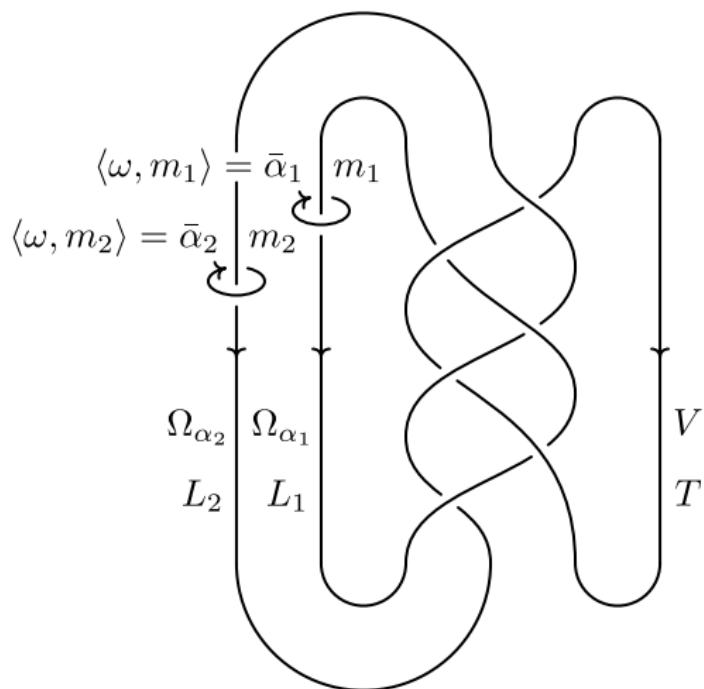
# Example



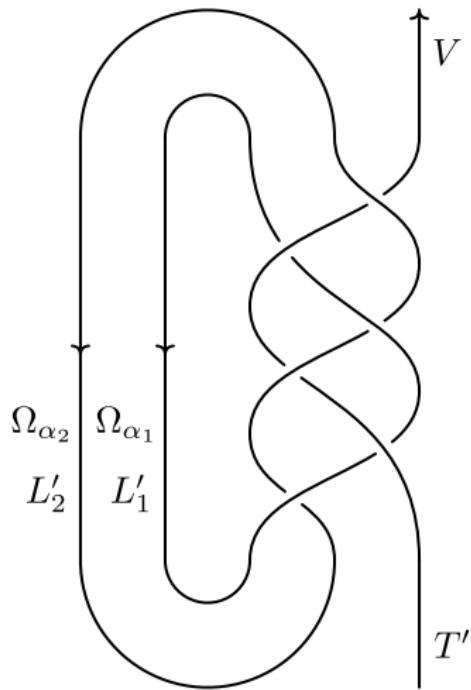
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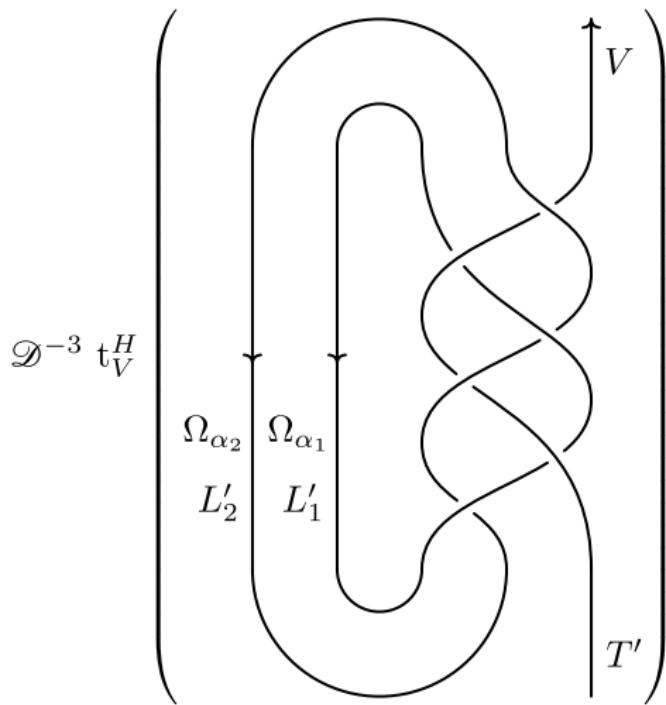
# Example



# Example



# Example



# Technical Hurdle

## Warning

$\Omega_\alpha$  is defined only for  $\alpha \in \mathbb{C} \setminus \mathbb{Z}$

We need to work with special surgery presentations

Definition (Computable surgery presentation  $L \subset S^3$  of  $(M, T, \omega)$ )

$$\langle \omega, m_i \rangle \in \mathbb{C}/2\mathbb{Z} \setminus \mathbb{Z}/2\mathbb{Z} \quad \forall m_i \text{ such that } L_i \subset L$$

# Renormalized Hennings Theory

## Strategy

Work directly over  $\bar{U}$

- Basis of  $\bar{U}$  given by  $\{E^a F^b K^c \mid 0 \leq a, b, c \leq r-1\}$
- Right integral  $\lambda \in \bar{U}^*$  defined by  $\lambda(E^a F^b K^c) = \delta_{a,r-1} \delta_{b,r-1} \delta_{c,1}$
- $\bar{\mathbf{t}}_{\bar{U}}(f) := \lambda(f(1)K)$  for every  $f \in \text{End}_{\bar{\mathcal{C}}}(\bar{U})$

# Sketch of Renormalized Hennings Construction

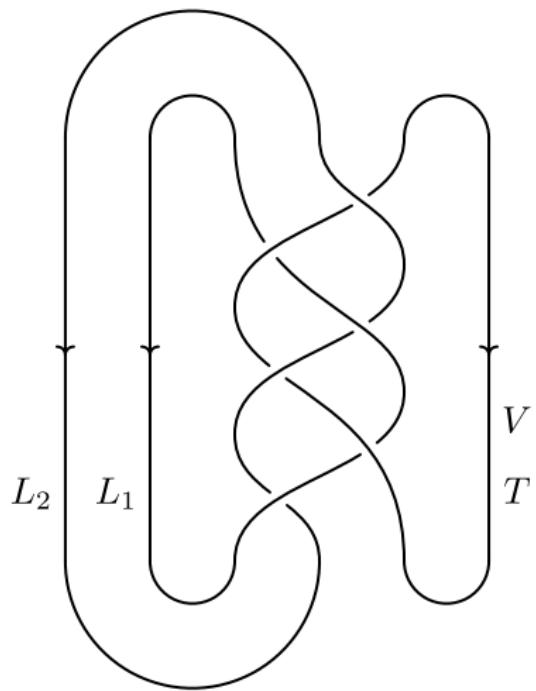
- $(M, T)$  admissible connected pair
- $L = L_1 \cup \dots \cup L_\ell \subset S^3$  oriented surgery presentation of  $M$  of signature  $\sigma(L)$
- $L_{\bar{U}}$  obtained from  $L$  by labeling every component with  $\bar{U}$
- $L'_{\bar{U}}$  obtained from  $L_{\bar{U}}$  by cutting every component like a positive string link
- $T'$  obtained from  $T$  by cutting an edge of color  $V \in \text{Proj}(\bar{\mathcal{C}})$

## Renormalized Hennings Invariant

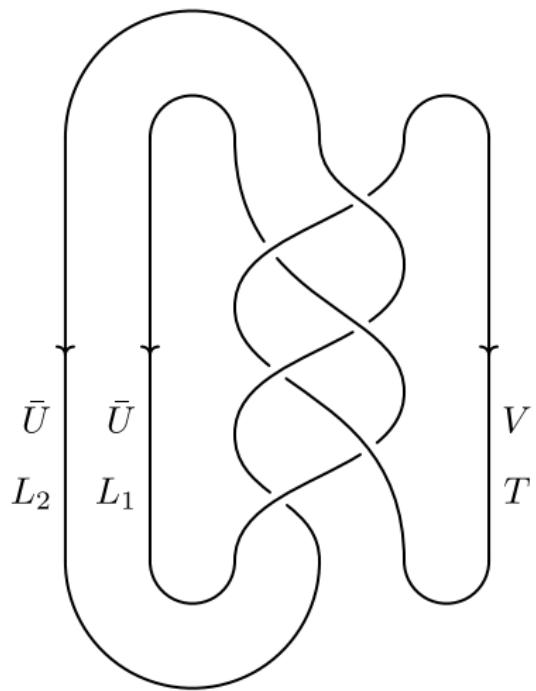
$$H'_{\bar{U}}(M, T) := \mathcal{D}^{-1-\ell} \delta^{-\sigma(L)} \bar{t}_V((\lambda^{\otimes \ell} \otimes \text{id}_V) \circ F_{\bar{\mathcal{C}}}(L'_{\bar{U}} \cup T') \circ (1^{\otimes \ell} \otimes \text{id}_V))$$

$$\mathcal{D} := \frac{\{1\}^{r-1}}{\sqrt{r[r-1]!}} \quad \delta := i^{-\frac{r-1}{2}} q^{\frac{r-3}{2}}$$

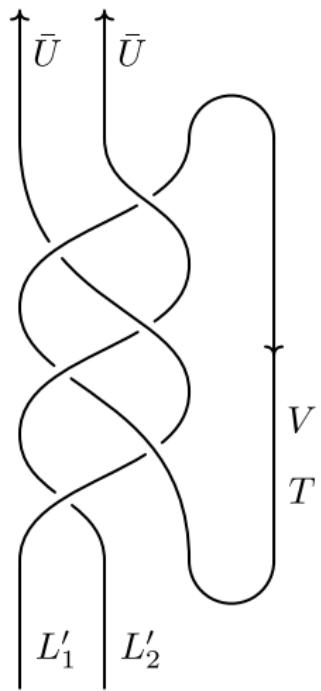
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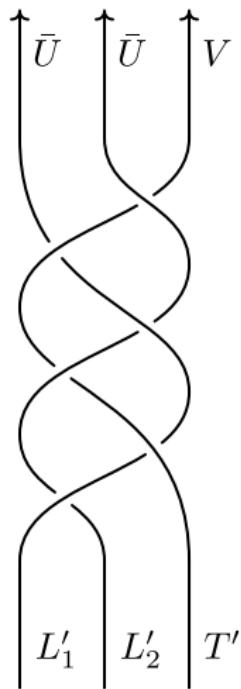
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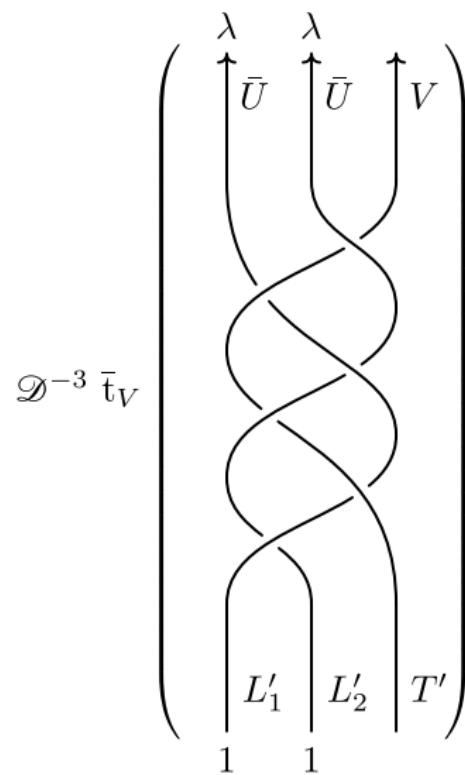
# Example



# Example



# Example



# Thank You for Your Attention

ご清聴ありがとうございました